

# Gravitational Wave Astrophysics

## Lecture 2

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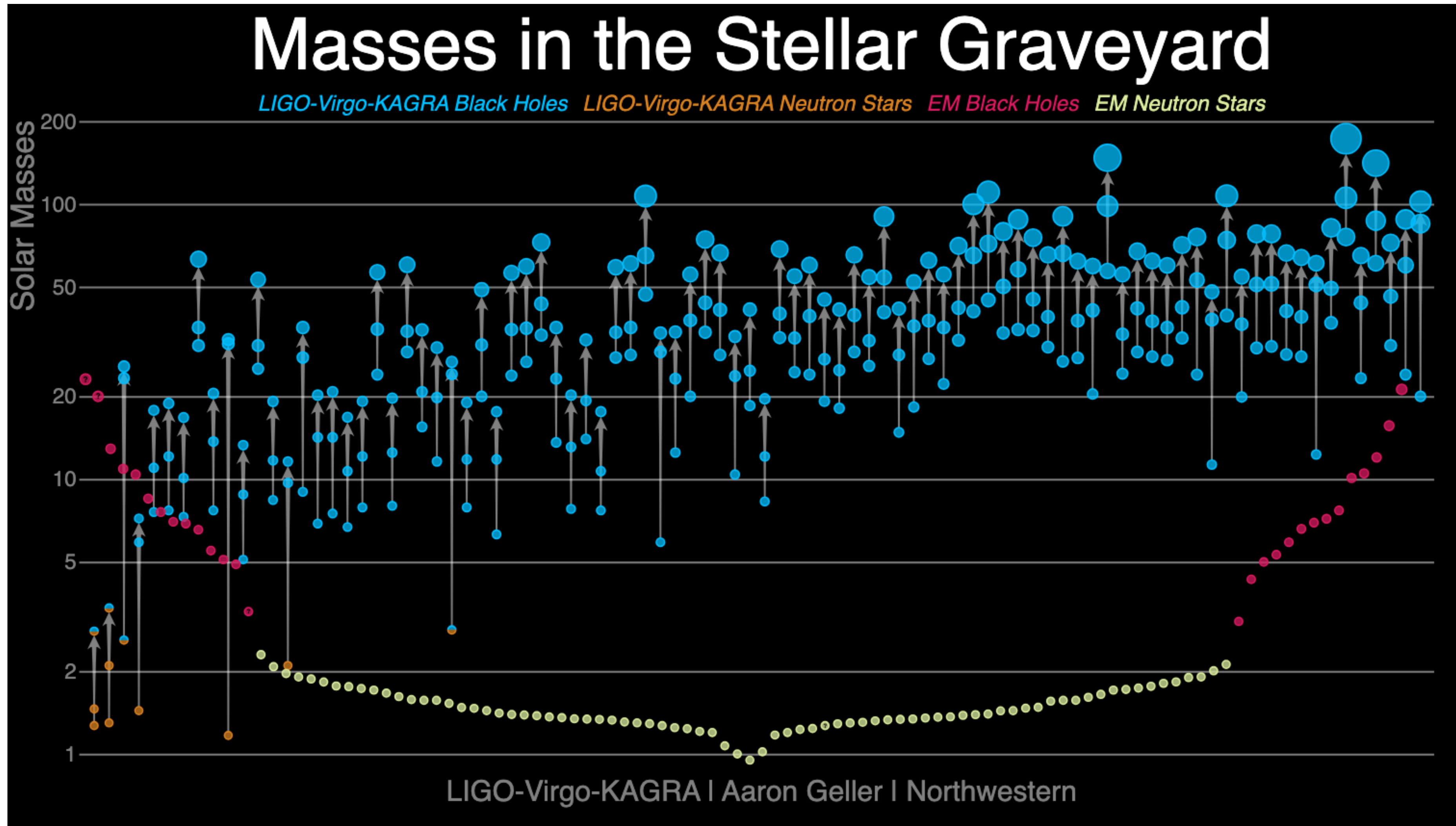
*ICTP-SAIFR/IFT-UNESP, São Paulo, August 2024*



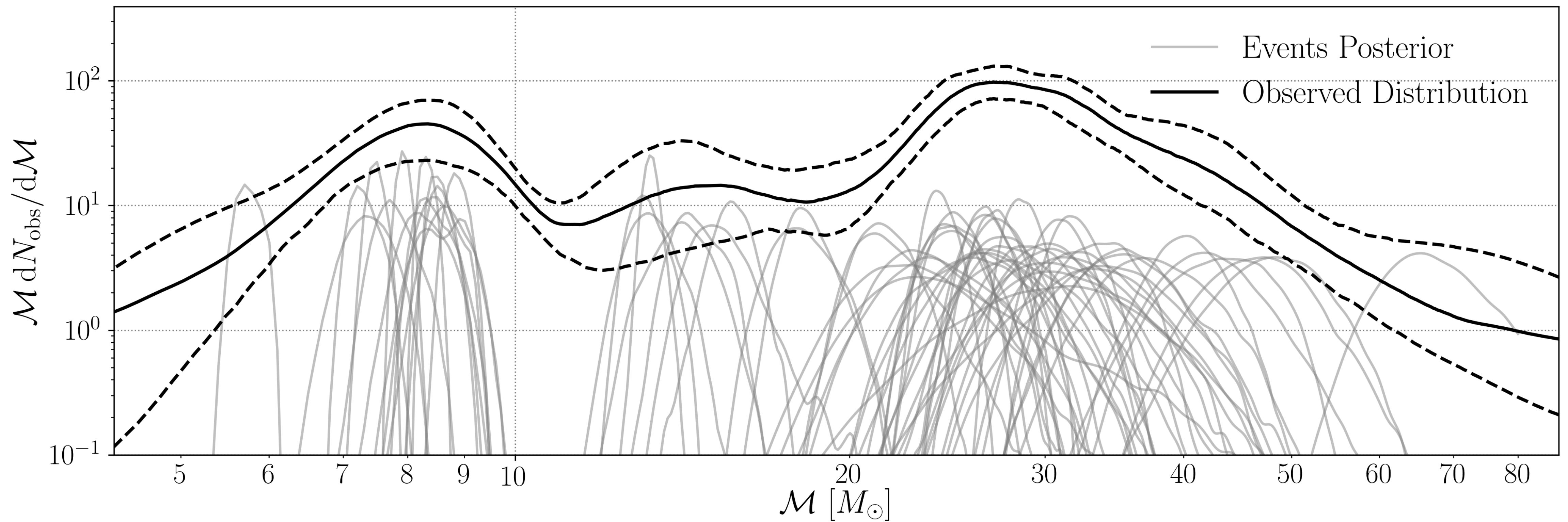
# In this lecture, you'll learn

- Population analysis of GW events
- Astrophysics of compact objects:
  - Isolated formation channel
  - Dynamical formation channel

# Population studies



# Population studies



Credits: [The LIGO-Virgo-KAGRA collaboration 2021](#)

# Parametric models

## Hierarchical Bayesian Analysis

$$p(\theta | d) \propto \mathcal{L}(d | \theta)p(\theta)$$

$$\theta = \{m_1, m_2, d_L, \dots\}$$



$$p(\Lambda | \{d\}) \propto \mathcal{L}(\{d\} | \Lambda)p(\Lambda)$$

$$\{d\} = \{d_1, d_2, d_3, \dots\}$$

# Parametric models

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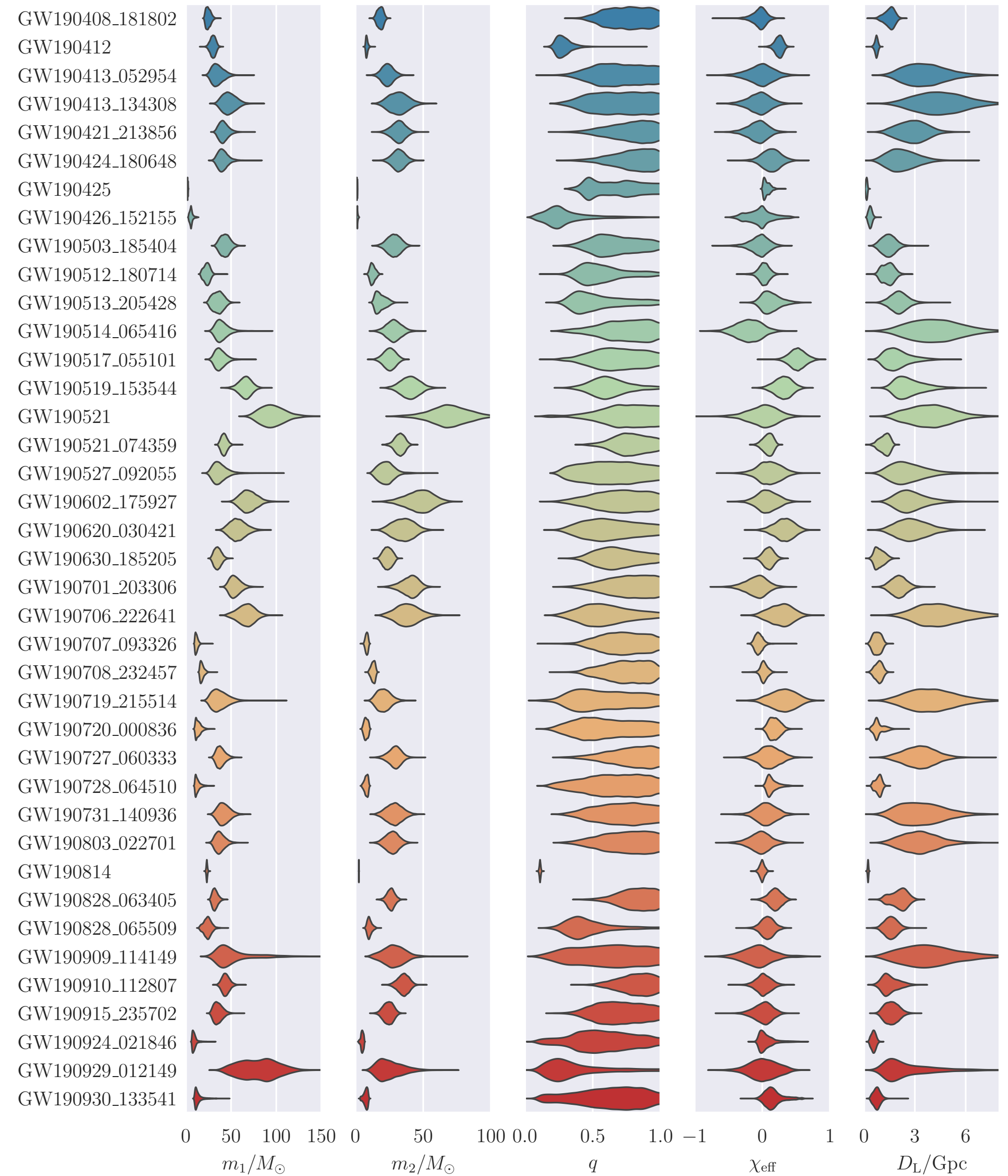


# Parametric models

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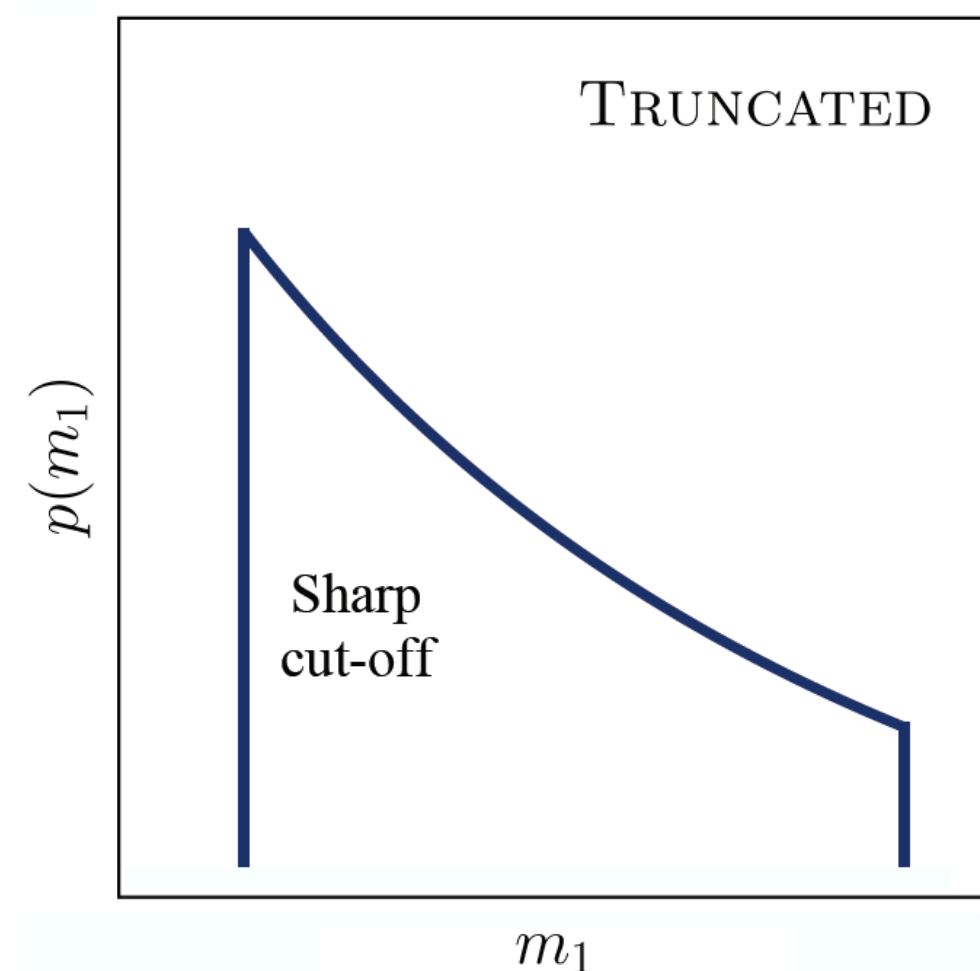


# Parametric models

$$p(\Lambda | \{d\}) \propto \mathcal{L}(\{d\} | \Lambda)p(\Lambda)$$

Population models

$$\mathcal{L}(\{d\} | \Lambda) \propto \prod_i^{N_{obs}} \frac{\int \mathcal{L}(d_i | \theta) \pi(\theta | \Lambda)}{\xi(\Lambda)}$$



Why a power law?

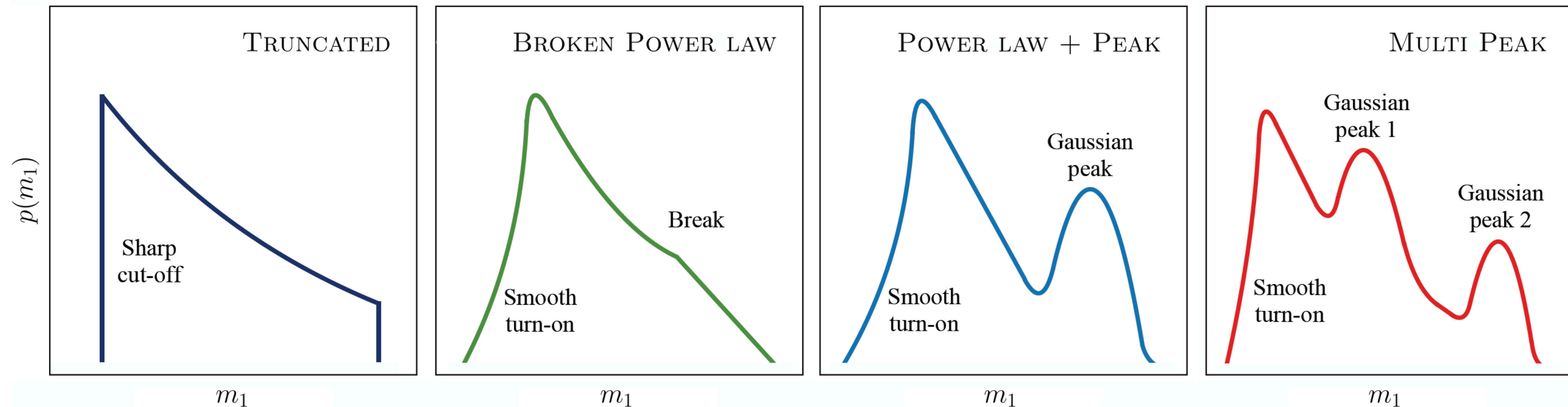
$$\pi(m_1 | \alpha, m_{\min}, m_{\max}) \propto \begin{cases} m_1^{-\alpha} & m_{\min} < m_1 < m_{\max} \\ 0 & \text{otherwise,} \end{cases}$$

# Parametric models

$$p(\Lambda | \{d\}) \propto \mathcal{L}(\{d\} | \Lambda)p(\Lambda)$$

$$\mathcal{L}(\{d\} | \Lambda) \propto \prod_i^{N_{obs}} \frac{\int \mathcal{L}(d_i | \theta) \pi(\theta | \Lambda)}{\xi(\Lambda)}$$

Population models



# Parametric models

$$p(\Lambda | \{d\}) \propto \mathcal{L}(\{d\} | \Lambda)p(\Lambda)$$

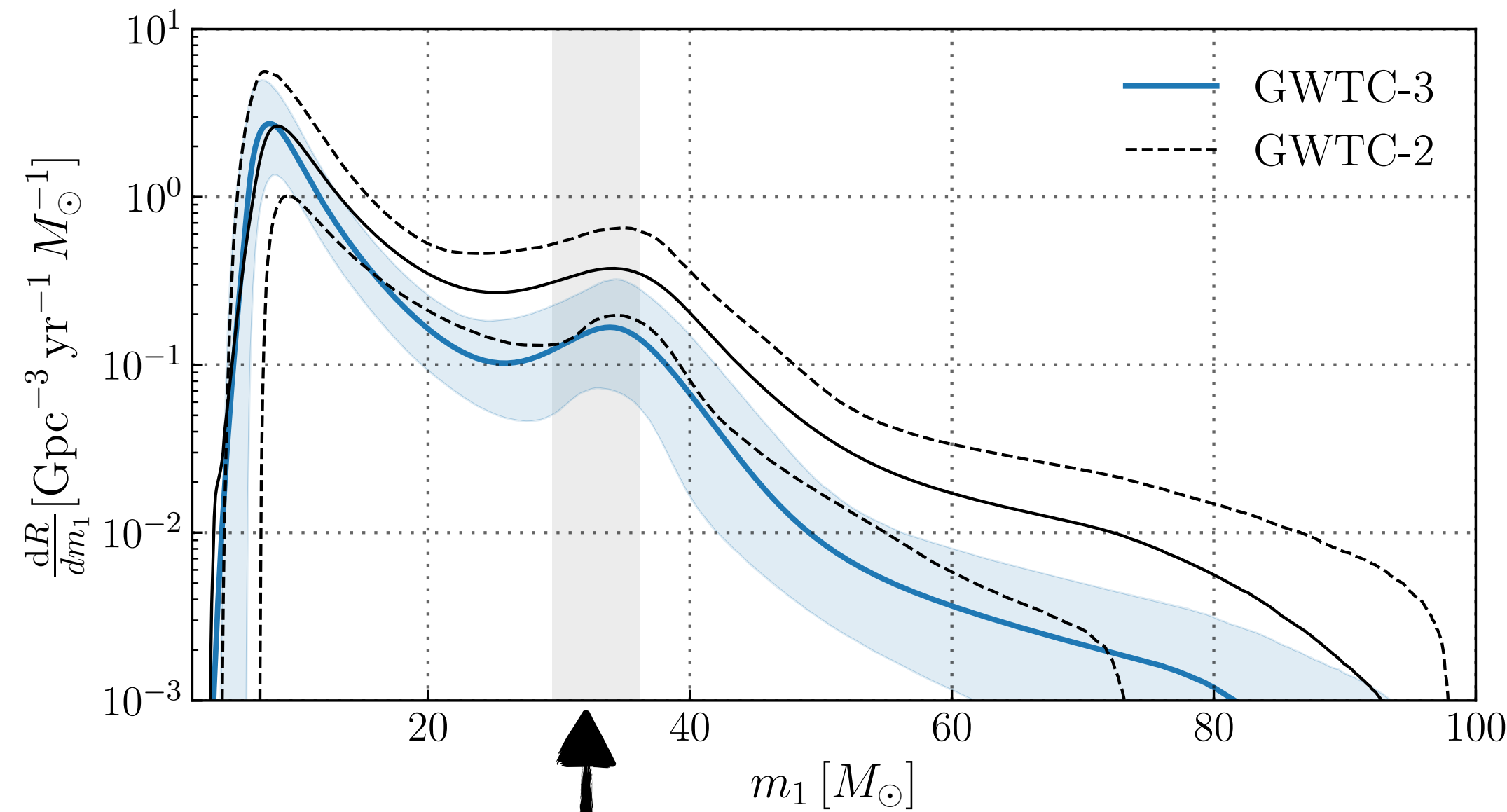
$$\mathcal{L}(\{d\} | \Lambda) \propto \prod_i^{N_{obs}} \frac{\int \mathcal{L}(d_i | \theta)\pi(\theta | \Lambda)}{\xi(\Lambda)}$$

**Selection effects:** fraction of merger that are detectable for a population with parameters  $\Lambda$   
We will define it in lecture #4

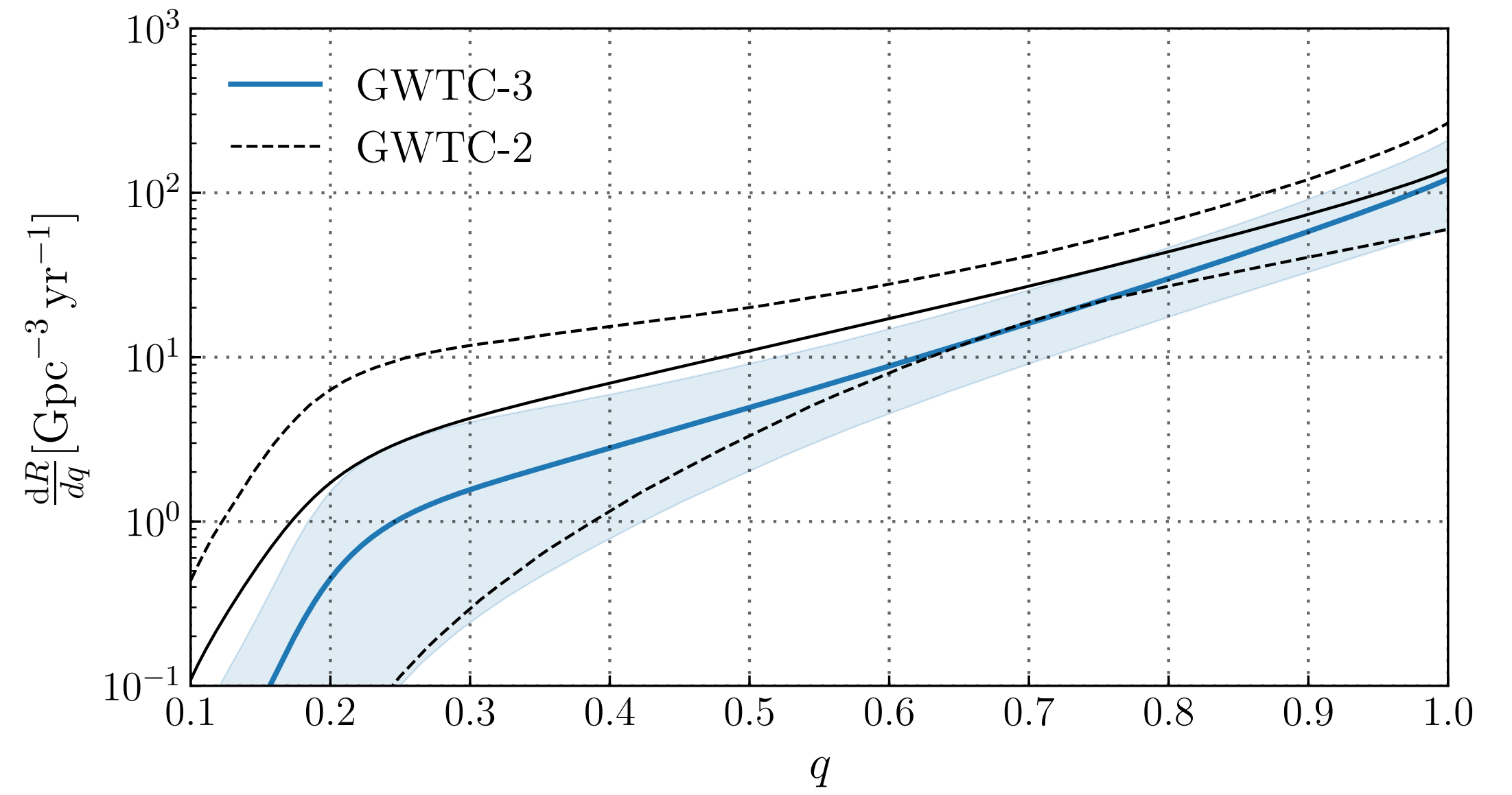
# Masses

$$p(\Lambda | \{d\}) \propto \mathcal{L}(\{d\} | \Lambda) p(\Lambda)$$

Power Law + Peak

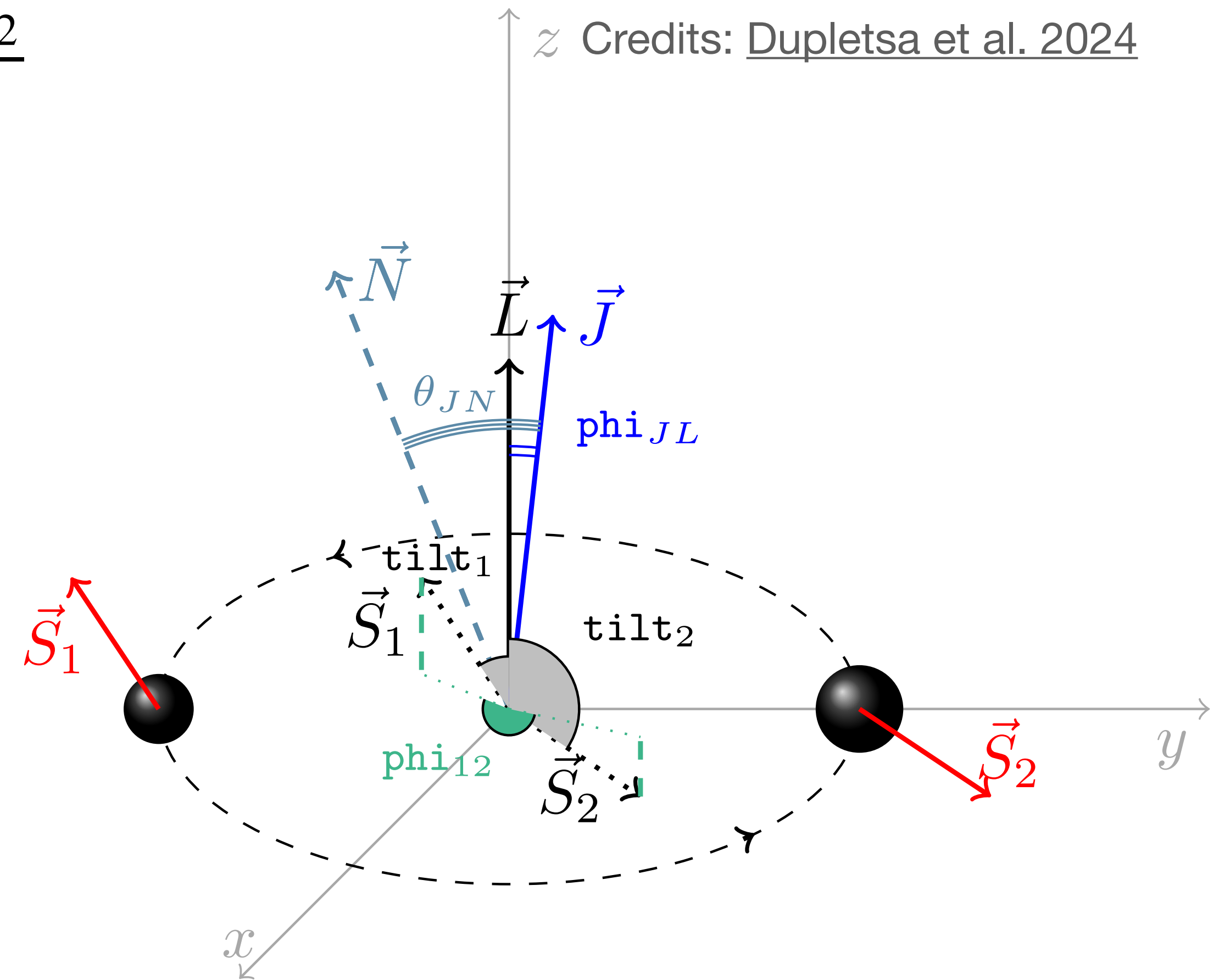


Mean of the Gaussian peak

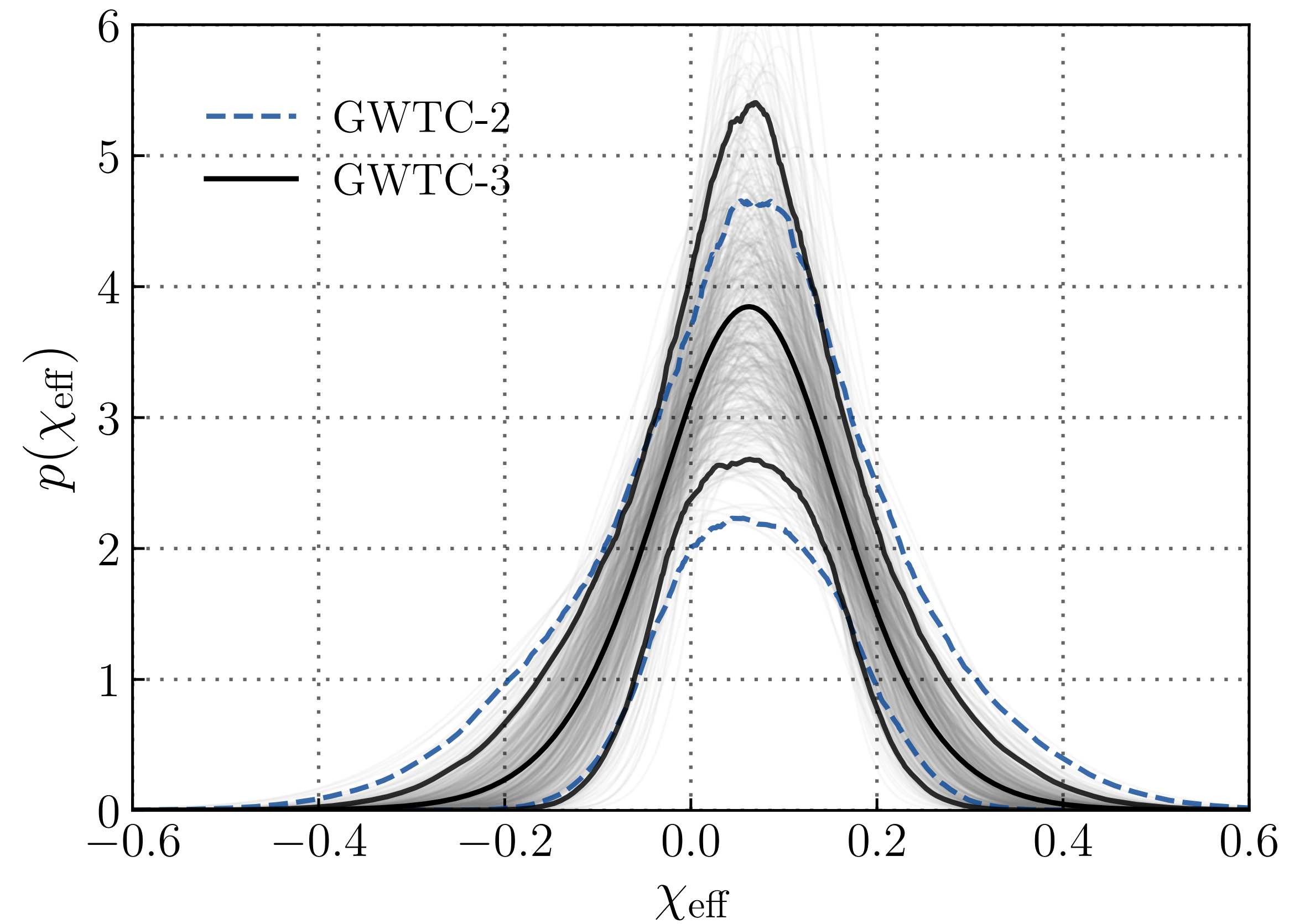
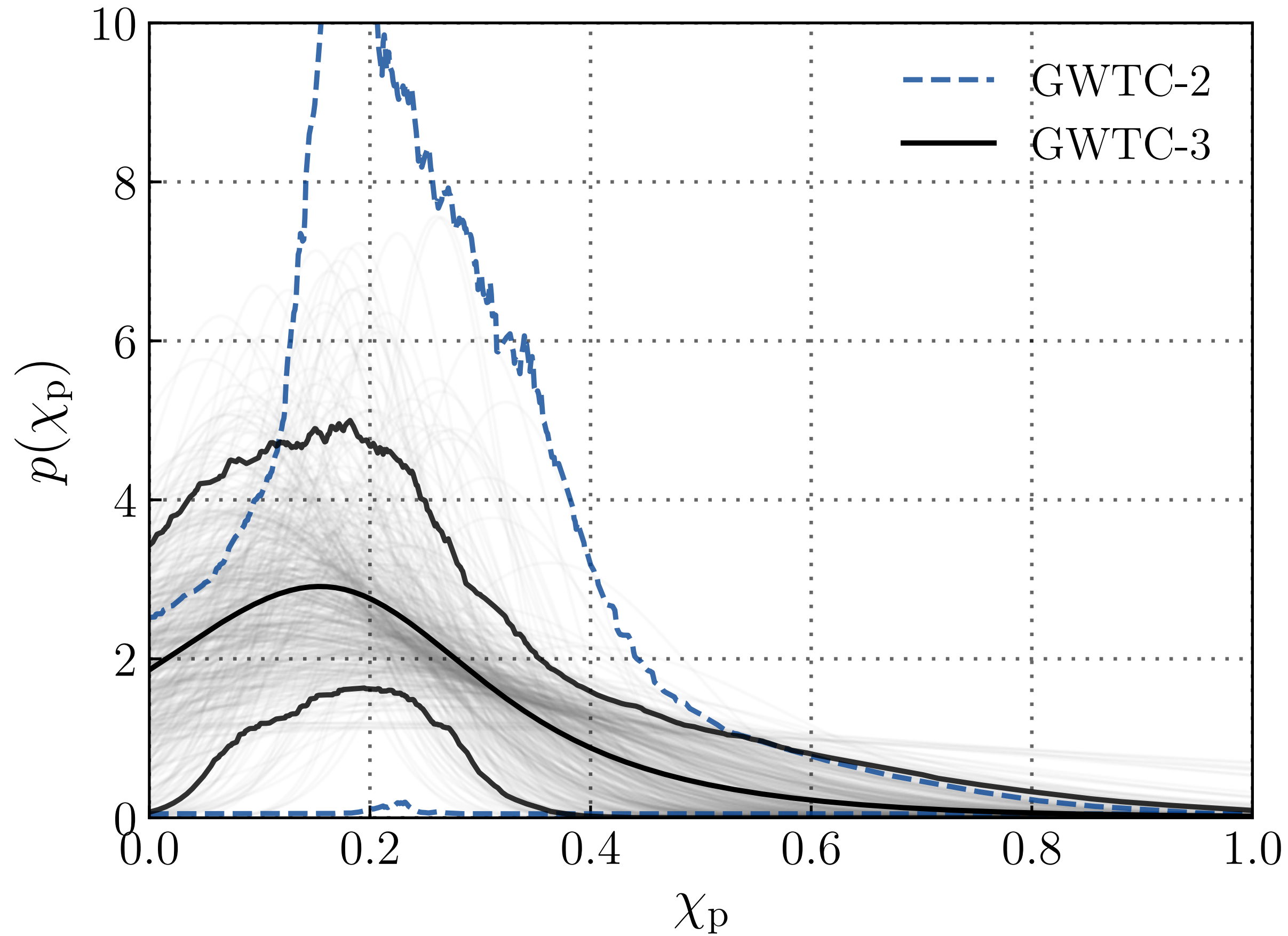


# Spins

- $\chi_{\text{eff}} = (\mathbf{S}_1/m_1 + \mathbf{S}_2/m_2) \cdot \hat{\mathbf{L}}/M = \frac{\chi_1 \cos \theta_1 + q\chi_2 \cos \theta_2}{1 + q}$
- $\chi_p = \max \left[ \chi_1 \sin \theta_1, \left( \frac{3 + 4q}{4 + 3q} \right) q\chi_2 \sin \theta_2 \right]$
- $\chi_{\text{eff}}$  and  $\chi_p$  are approximately conserved quantities
- Dimension-less spin component  $\chi_i = \mathbf{S}_i/m_i$  where  $\mathbf{S}_i$  is the individual spin
- $\hat{\mathbf{L}}$  is orbital angular momentum



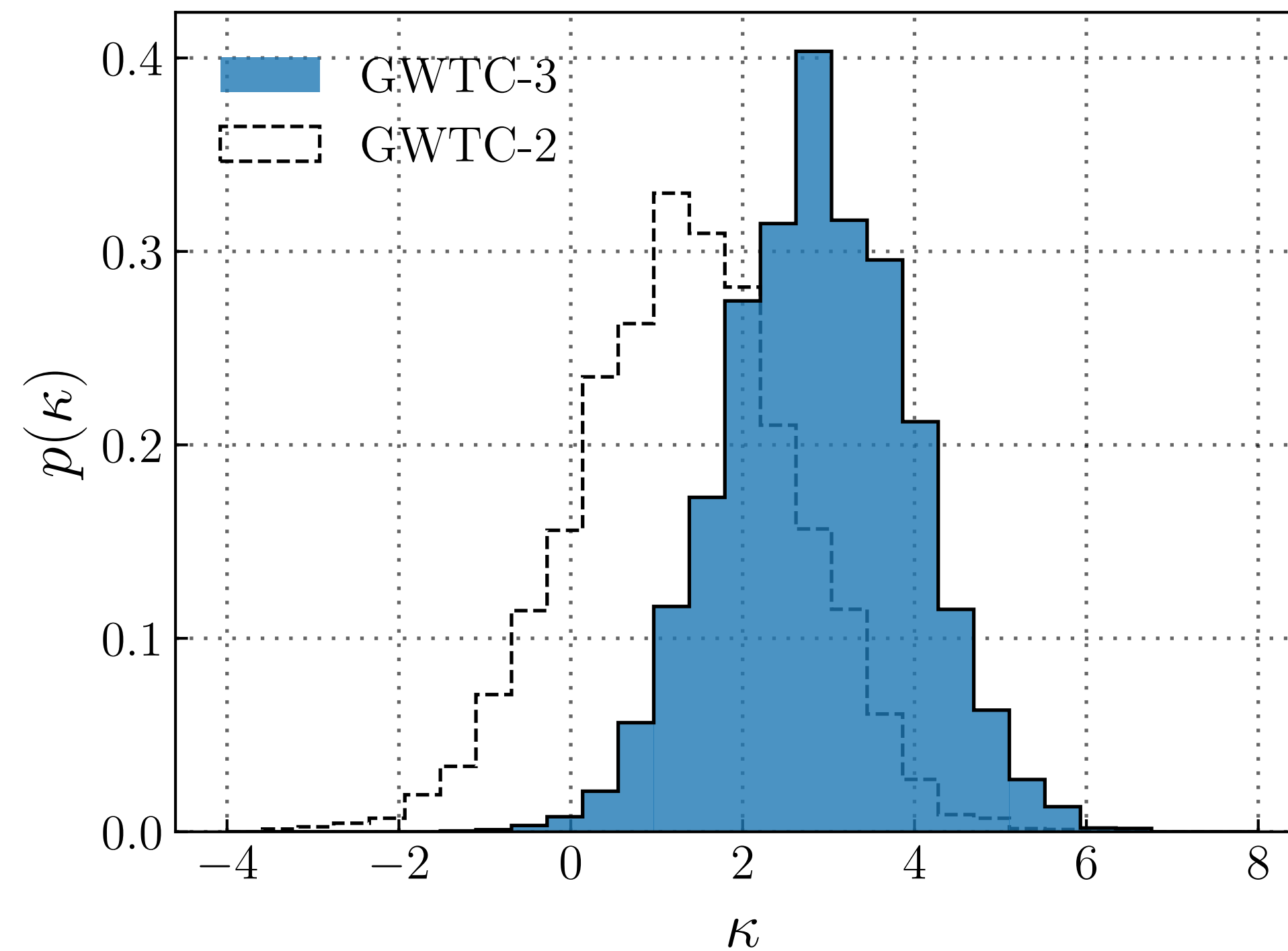
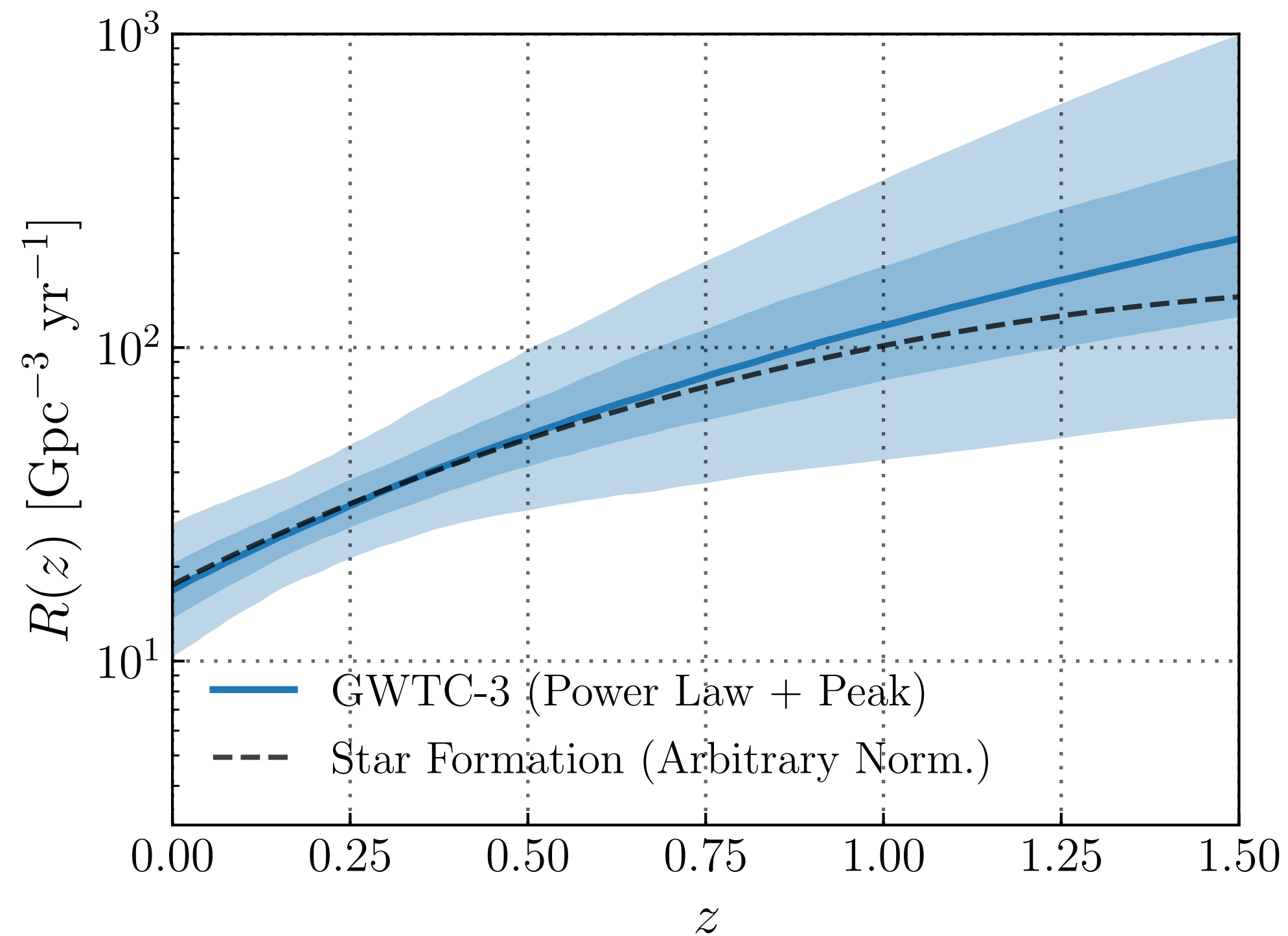
# Spins



**small but non-vanishing spins**

# Rates

$$\mathcal{R} \propto (1+z)^\kappa$$

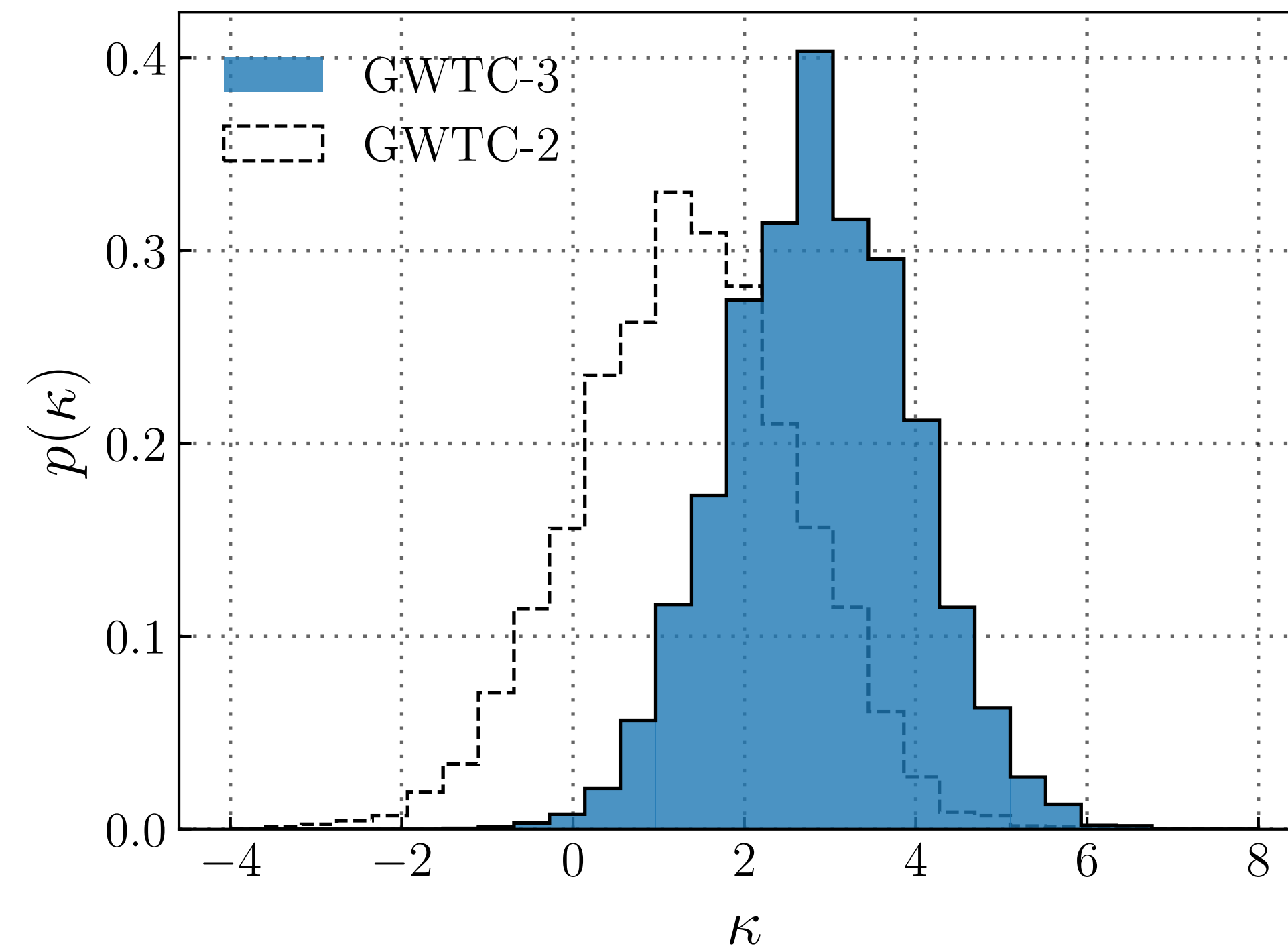
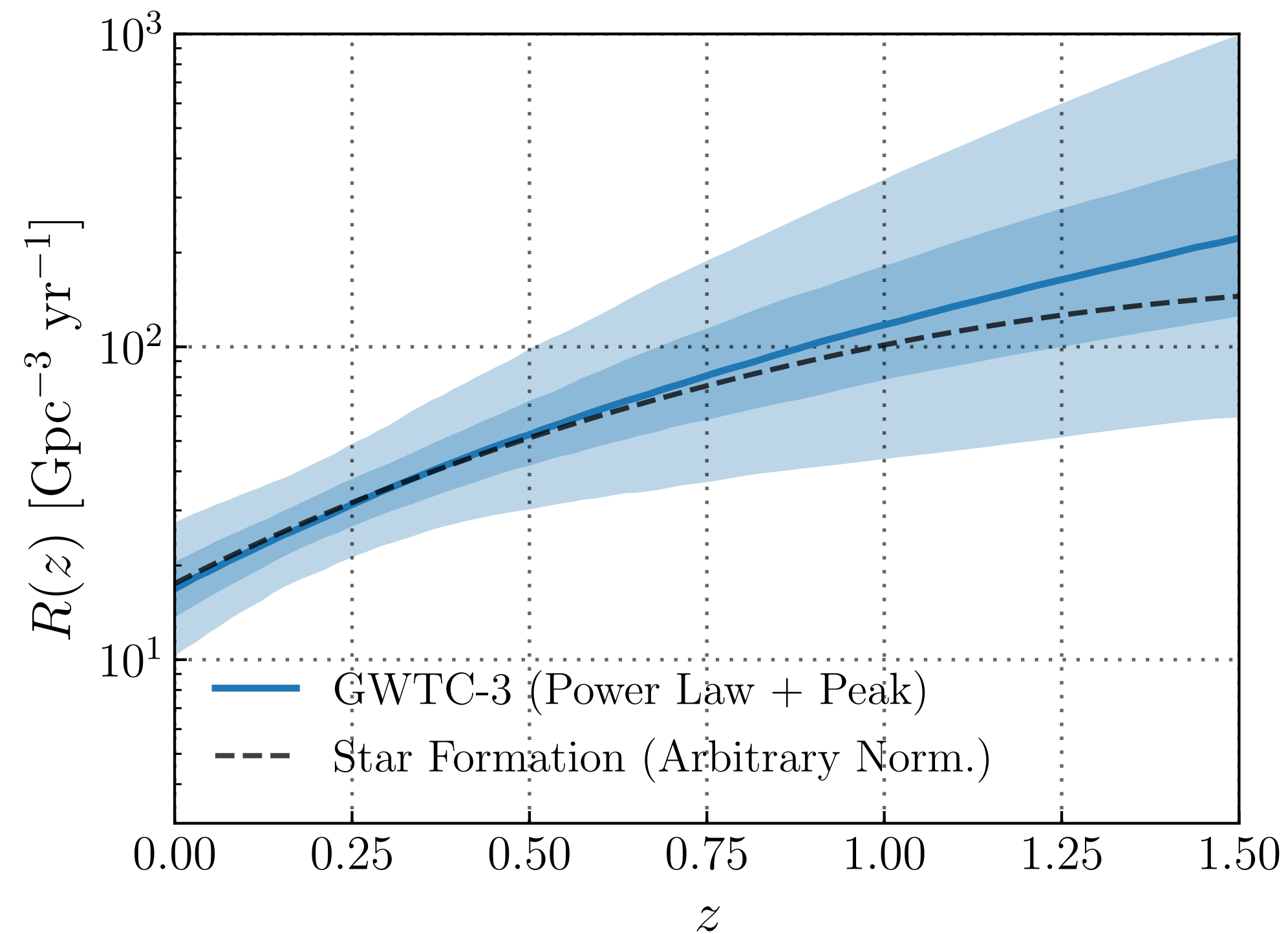


**Merger rate density is increasing with redshift**



# Rates

$$\mathcal{R} \propto (1+z)^\kappa$$



**Today's hands-on section!**

**Astrophysics of compact objects:  
can our models predict observations?**

# NS vs BH

- Final stage of massive star evolution
- **NS mass**  $\in [\sim 1, \sim 3] M_{\odot}$ 
  - Lower limit: **Chandrasekhar mass**: maximum mass for a star to be supported by electron degeneracy
  - Upper limit: **Tolman-Oppenheimer-Volkoff limit**: max mass above which no source pressure can counteract gravity
- **BH mass**  $\gtrsim 3 M_{\odot}$

# GW decay

$$\bullet \quad \frac{dE_{\text{orb}}}{dt} = -\frac{Gm_1m_2}{2a^2} \frac{da}{dt}, \quad \frac{dE_{\text{orb}}}{dt} \sim \frac{32 G^4 m_1 m_2 (m_1 + m_2)}{5 c^5 a^3}$$

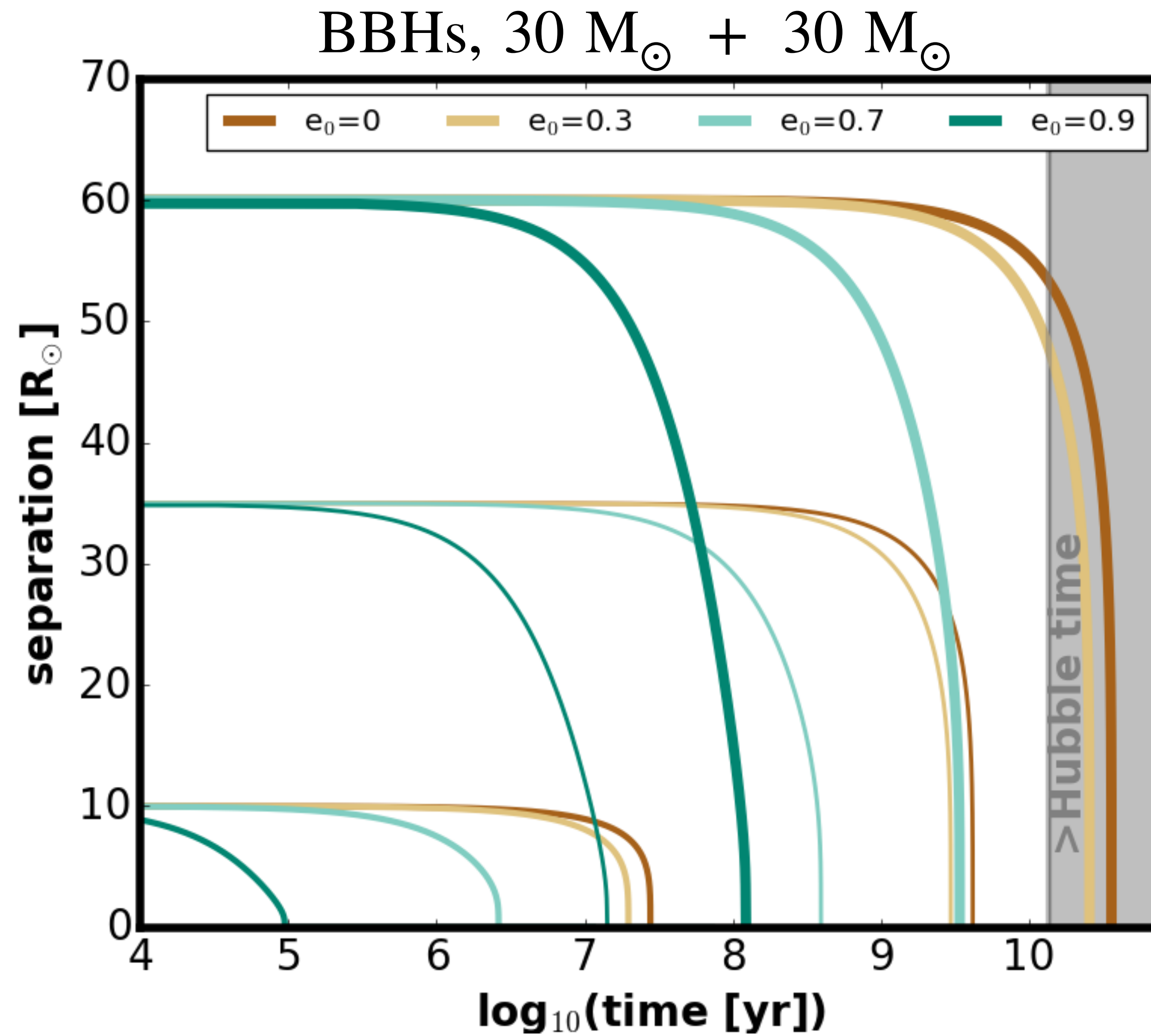
# GW decay

- $$\frac{dE_{\text{orb}}}{dt} = -\frac{Gm_1m_2}{2a^2} \frac{da}{dt}, \quad \frac{dE_{\text{orb}}}{dt} \sim \frac{32 G^4 m_1m_2(m_1 + m_2)}{5 c^5 a^3}$$

- Orbital decay: 
$$\frac{da}{dt} = -\frac{64 G^3 m_1m_2(m_1 + m_2)}{5 c^5 a^3 (1 - e^2)^{7/2}} \left( 1 + \frac{73}{24} e^2 + \frac{37}{96} e^4 \right)$$

- Circularisation: 
$$\frac{de}{dt} = -\frac{304}{15} e \frac{G^3 m_1m_2(m_1 + m_2)}{c^5 a^4 (1 - e^2)^{5/2}} \left( 1 + \frac{121}{304} e^2 \right)$$

# GW decay



Credits: Martyna Chruślinska

# GW decay

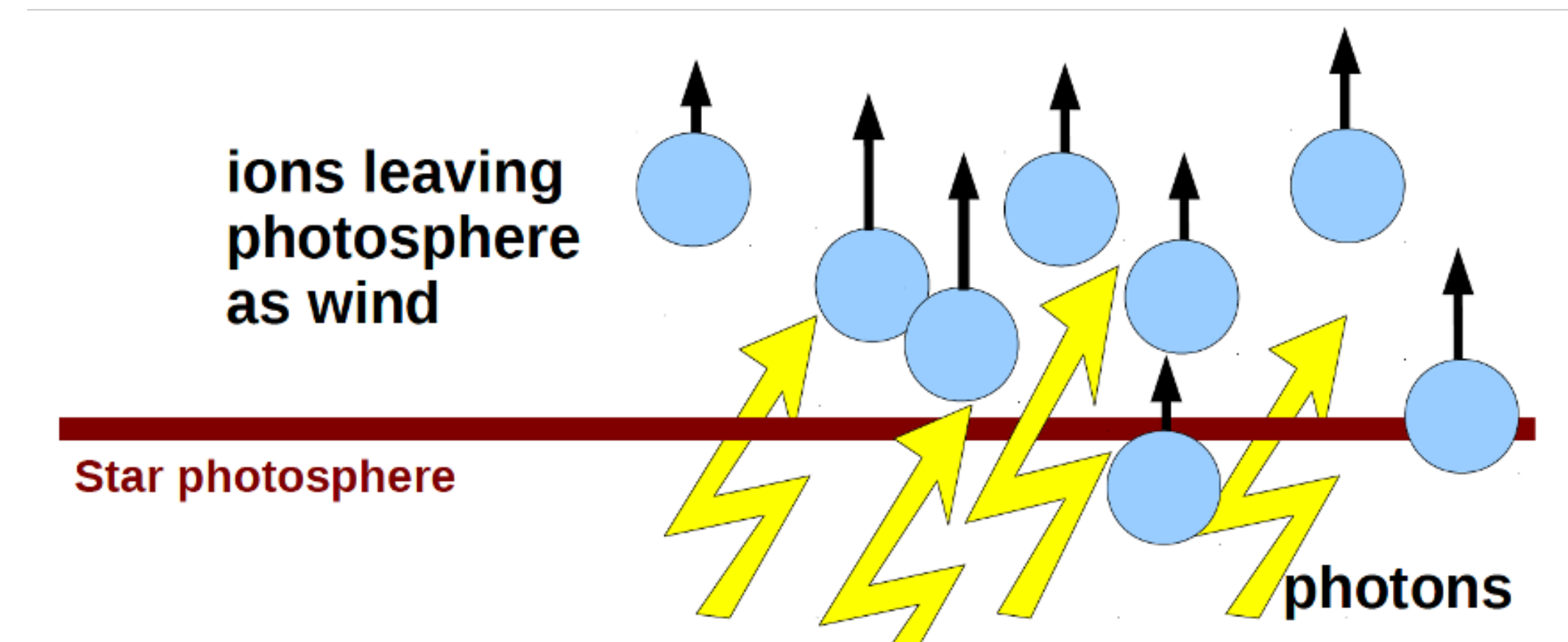
- Integrating  $\frac{da}{dt}$  and assuming  $\frac{de}{dt} = 0$ :

$$t_{\text{GW}} = \frac{5}{256} \frac{c^5}{G^3} \frac{a^4}{m_1 m_2 (m_1 + m_2)} (1 - e^2)^{7/2}$$

- Example:  $m_1 = m_2 = 1 M_{\odot}$ ,  $a = 1 \text{ AU}$   $\Rightarrow t_{\text{GW}} \sim 2 \times 10^{17} \text{ yr}$
- GW decay is significant only in very tight binaries  $\Rightarrow$   
we need **astrophysical processes** to make the two compact objects merge

# Single stellar evolution

- **Metallicity:** fraction of every element heavier than hydrogen ( $X$ ) and helium ( $Y$ )  
➡  $Z = 1 - X - Y$
- **Sun:**  $Z_{\odot} \sim 0.015 - 0.02$  ➡ metal rich star
- **Stellar winds:** photons in stellar atmosphere couples with ions ➡ transfer of linear momentum that can unbind ions

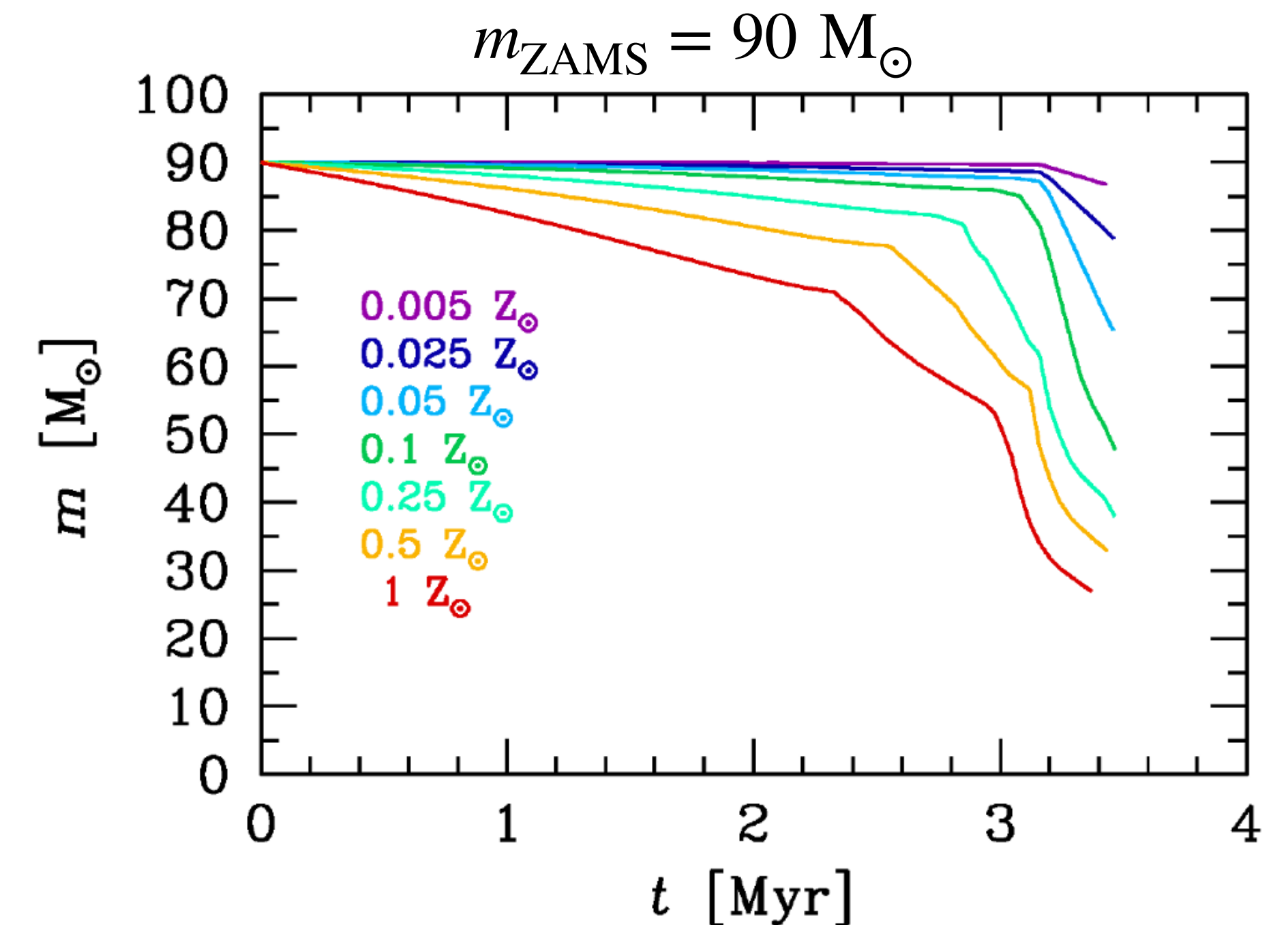


Credit: Michela Mapelli



# Single stellar evolution

- Mass loss due to stellar winds depends on metallicity  $\rightarrow \dot{M} \propto Z^\alpha$  with  $\alpha \sim 0.5 - 0.9$
- Massive and metal rich star can lose  $> 50\%$  of their mass due to stellar winds



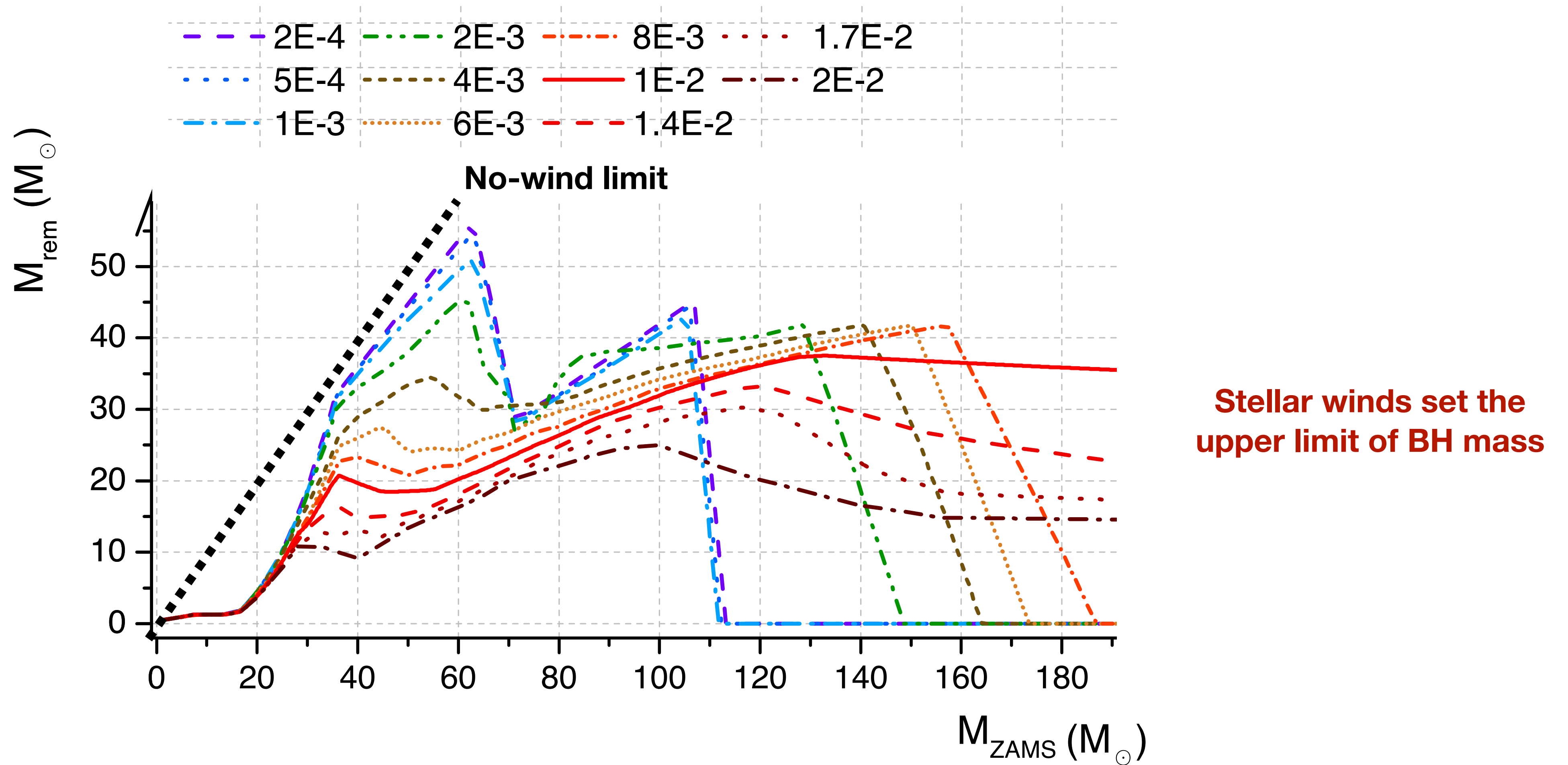
Credits: Michela Mapelli

Refs: [Bressan et al. 2012](#), [Spera et al. 2015](#), [Vink et al. 2016](#), [Mapelli 2018](#)

# Death of a star

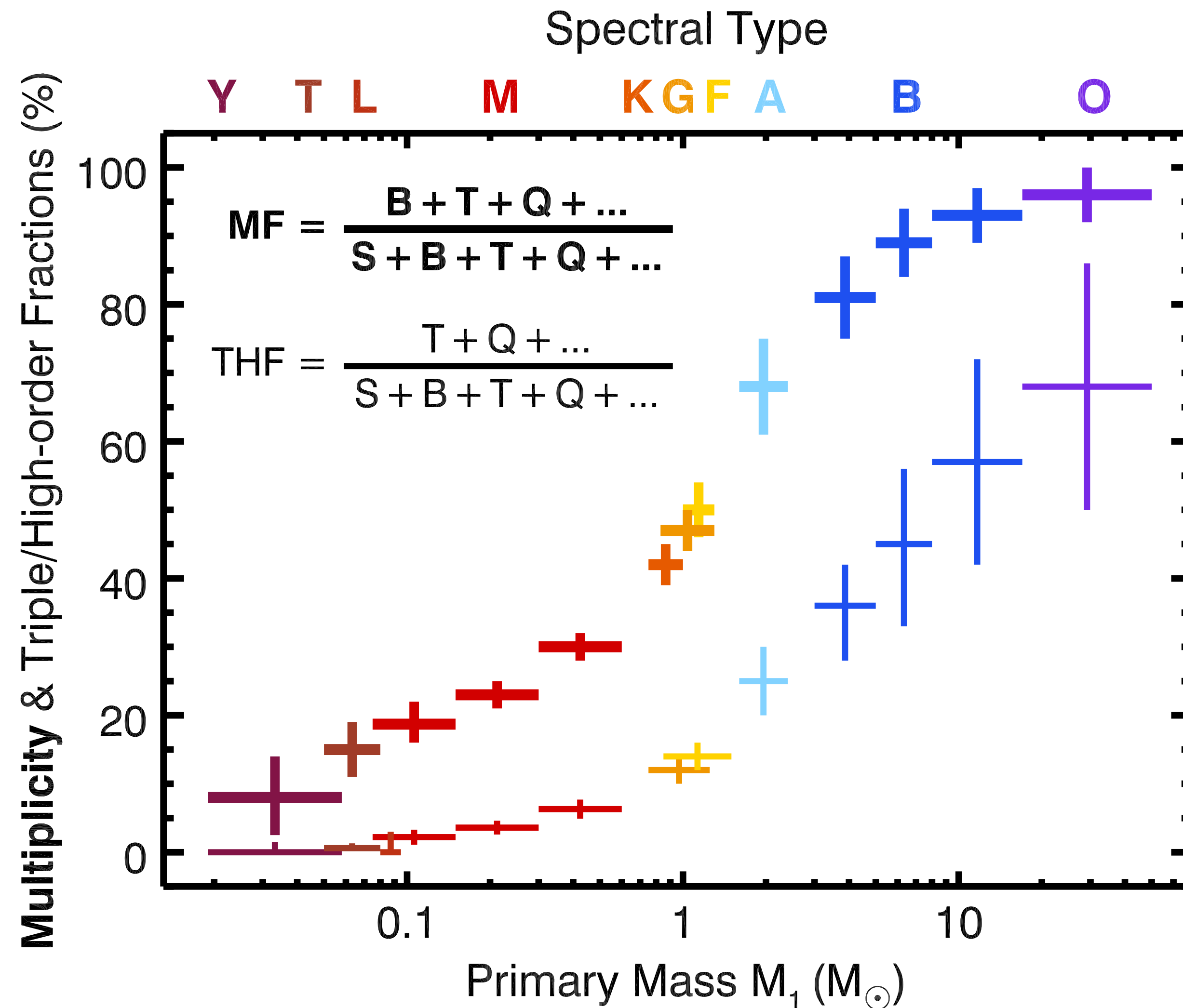
- When nuclear burning is over, the star is out of hydrostatic equilibrium and the **core collapses (CC)**
- $M_{\text{ZAMS}} \lesssim 8 M_{\odot}$  → CC stops when electron degeneracy pressure balance gravity. Thermal pulses remove all the envelope, revealing the bare CO core → **White Dwarf**
- $M_{\text{ZAMS}} \in [\sim 8, \sim 20] M_{\odot}$  → CC stops when core reaches nuclear density and neutron degeneracy pressure balance gravity → **Neutron Star**
- $M_{\text{ZAMS}} \gtrsim 20 M_{\odot}$  → gravity is too strong and no source of pressure can stop CC → **Black Hole**

# Single stellar evolution



Refs: [Spera et al. 2015](#), [Spera and Mapelli 2017](#), [Mapelli 2018](#), [Spera et al. 2022](#)

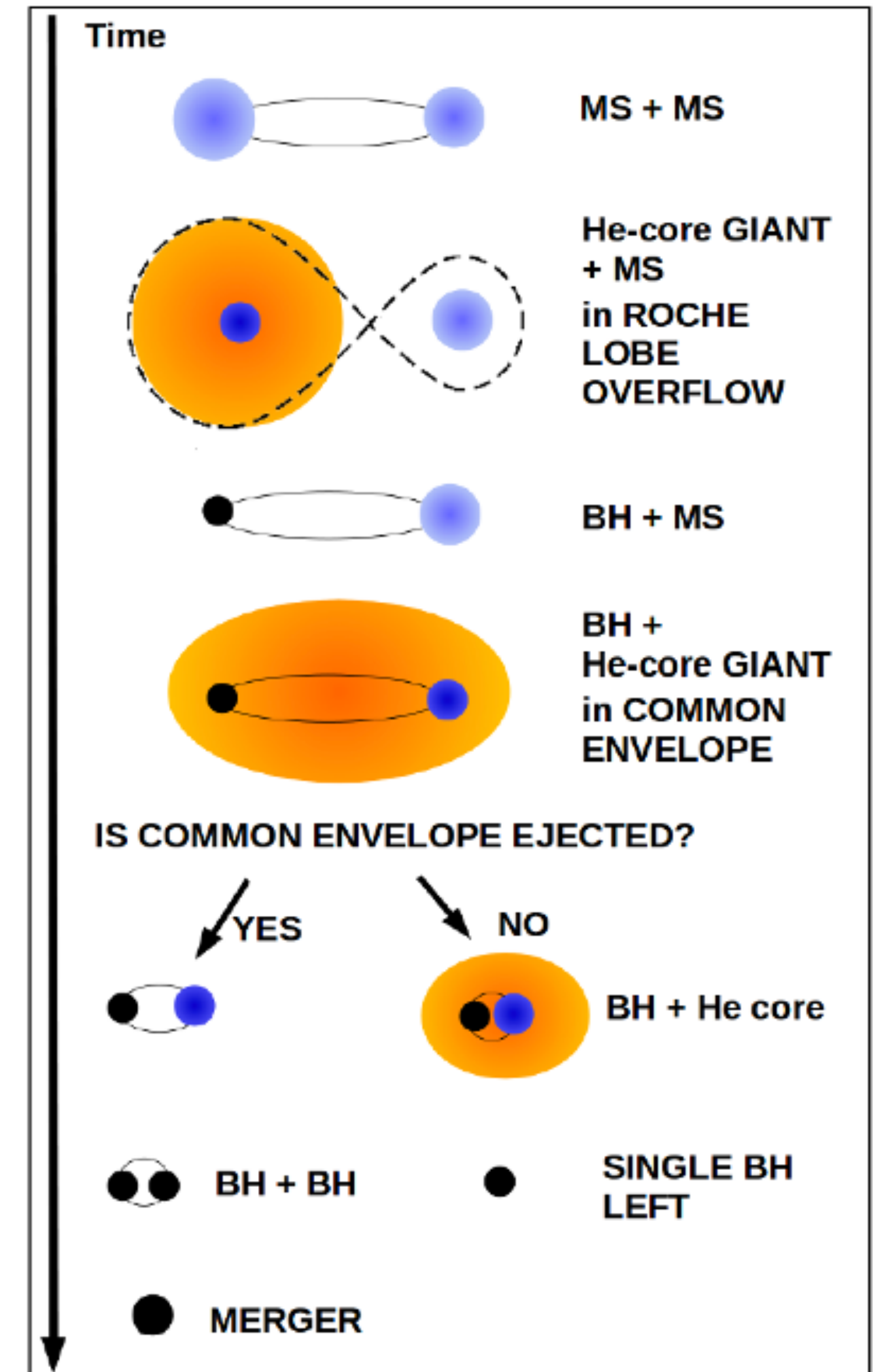
# Binary systems



Most massive stars (> 70%)  
are in binary systems

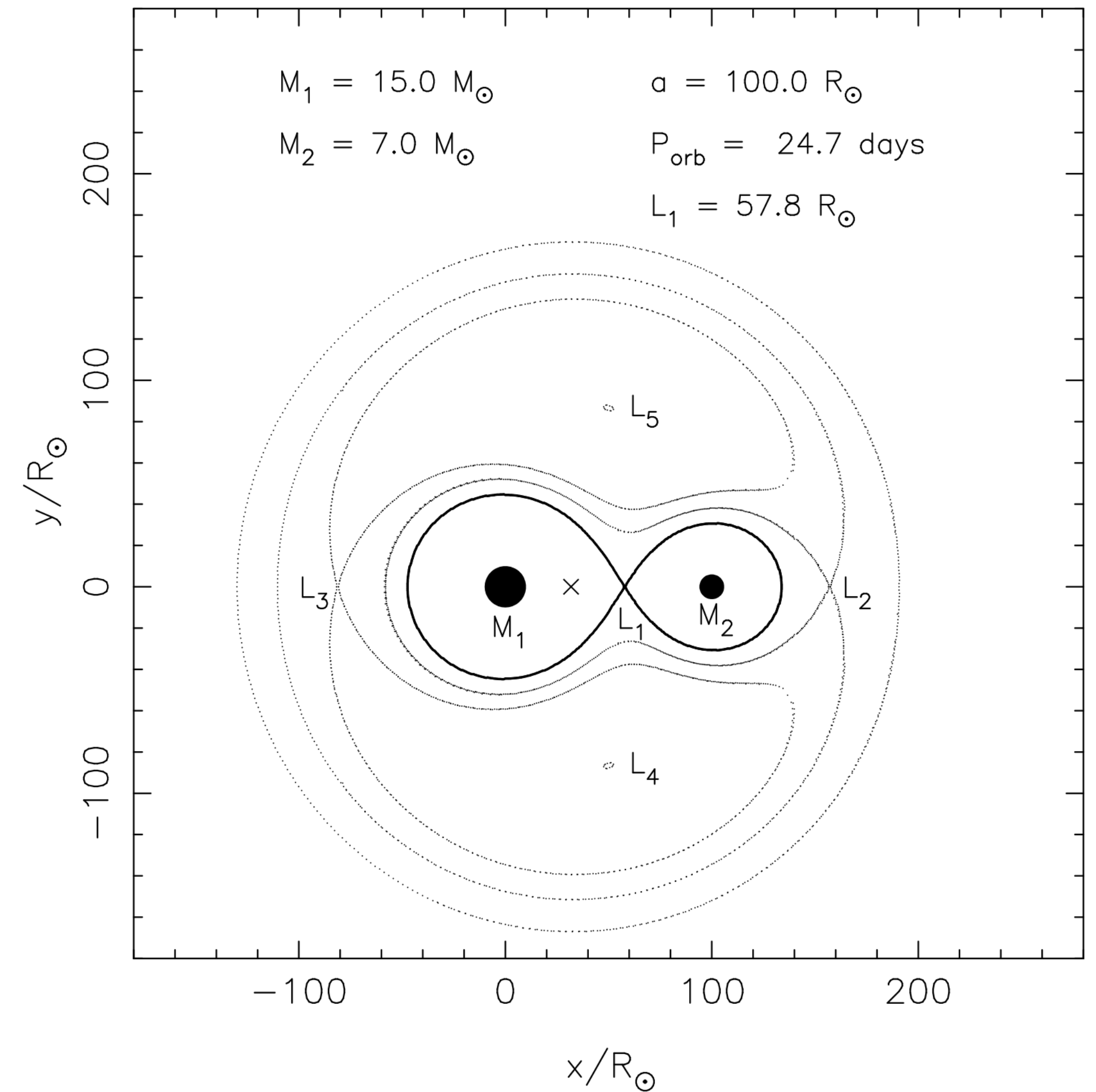
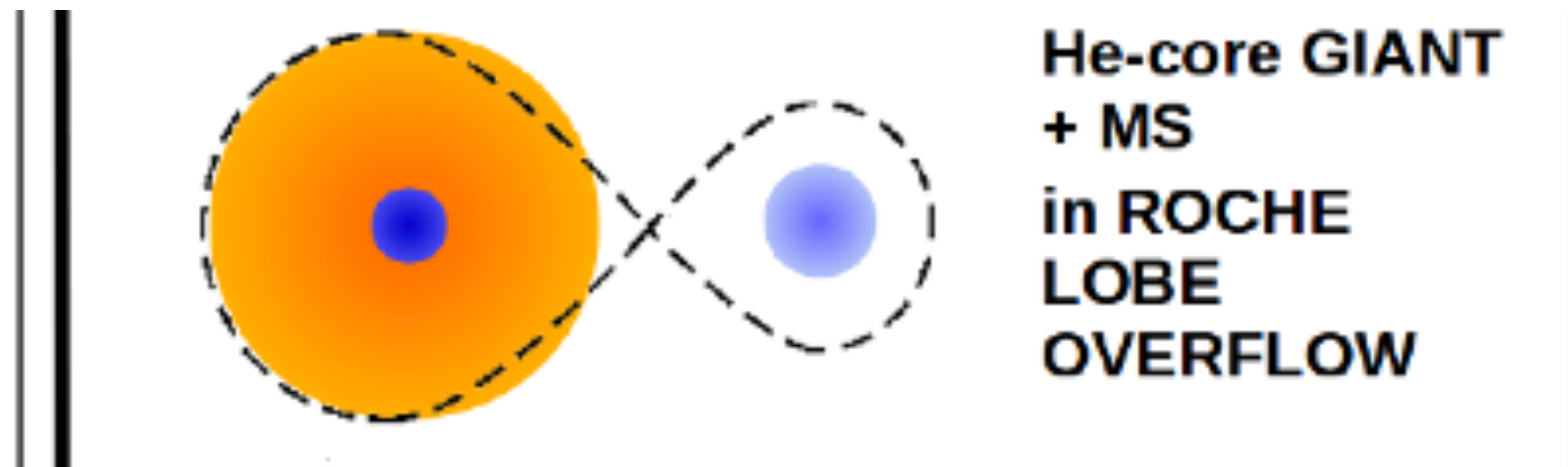
# Isolated formation channel

- **Two stars** form from the same gas cloud and evolve into **two merging BHs**
- Binary evolution driven by two main processes:
  - **Mass transfer**
  - **Common Envelope**



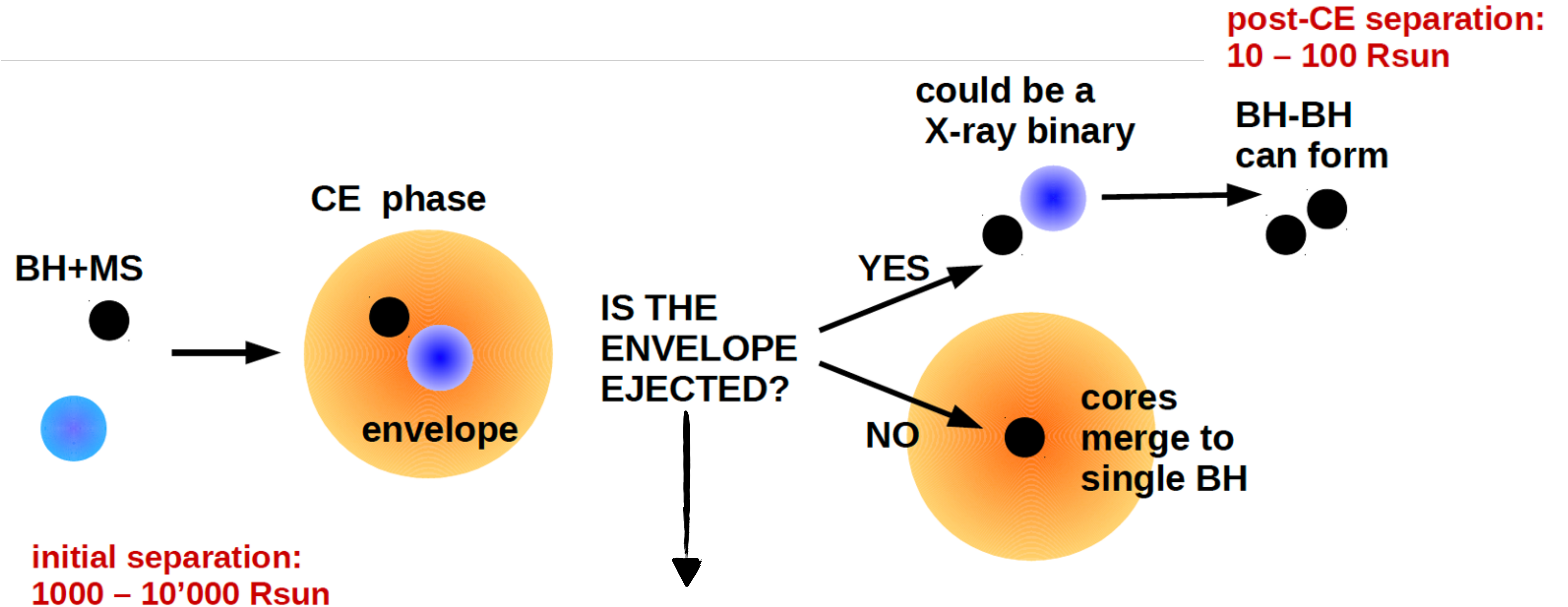
# Mass transfer

- **Roche lobe:**  $r_{\text{RL}} = a \frac{0.49q^{2/3}}{0.6q^{2/3} + \ln(1 + q^{1/3})}$
- **Mass transfer via Roche-lobe overflow:** mass can be transferred to the other object via L1
- **Orbit shrinks** in case of **stable** mass transfer



# Common Envelope

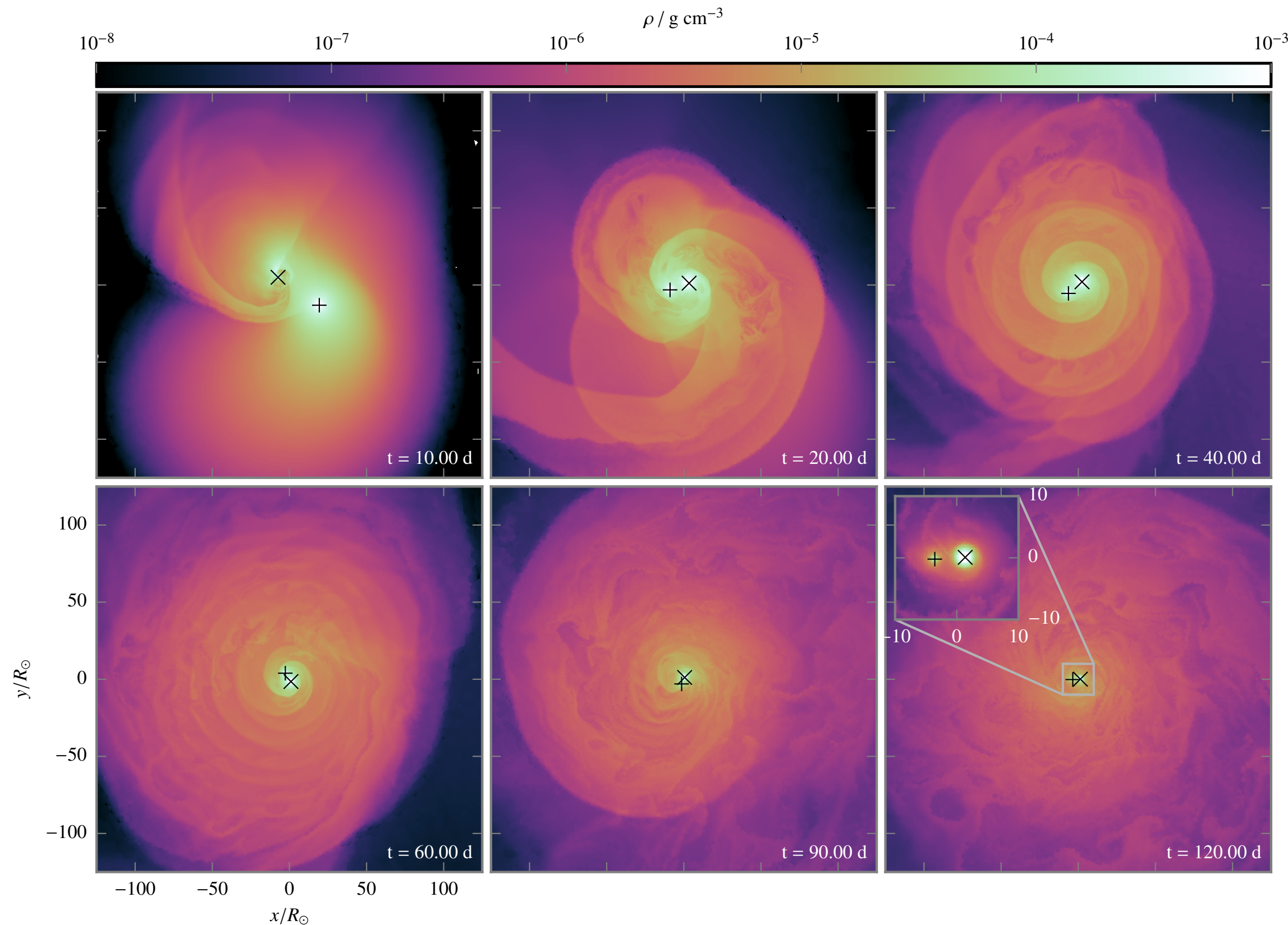
See [here](#) a short movie describing the CE phase



This is the most important question.  
i.e., does the binary survive the CE phase?

# Modelling the common envelope

## Hydrodynamical simulations



## $\alpha\lambda$ -formalism

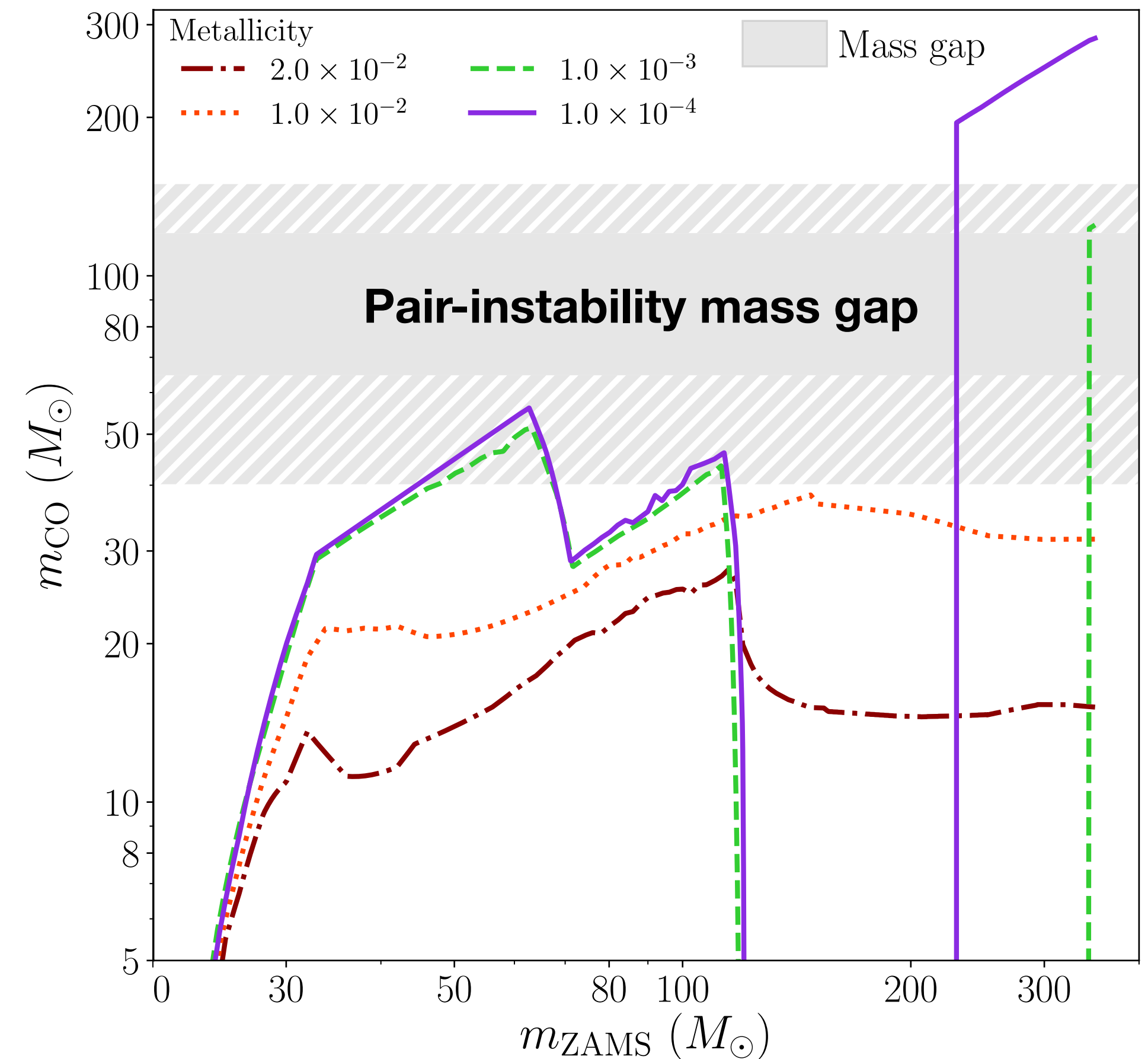
- $$E_{\text{bind},ini} = -\frac{G}{\lambda} \left( \frac{M_1 M_{1,\text{env}}}{r_1} + \frac{M_2 M_{2,\text{env}}}{r_2} \right)$$
- $$E_{\text{orb},ini} = \frac{1}{2} \frac{GM_{c,1}M_{c,2}}{a_{ini}}$$
- $$E_{\text{bind},ini} = \Delta E_{\text{orb}} = \alpha(E_{\text{orb},fin} - E_{\text{orb},ini})$$



**Can we explain all GW observations with only the isolated formation channel ?**

# Pair-instability Super Nova

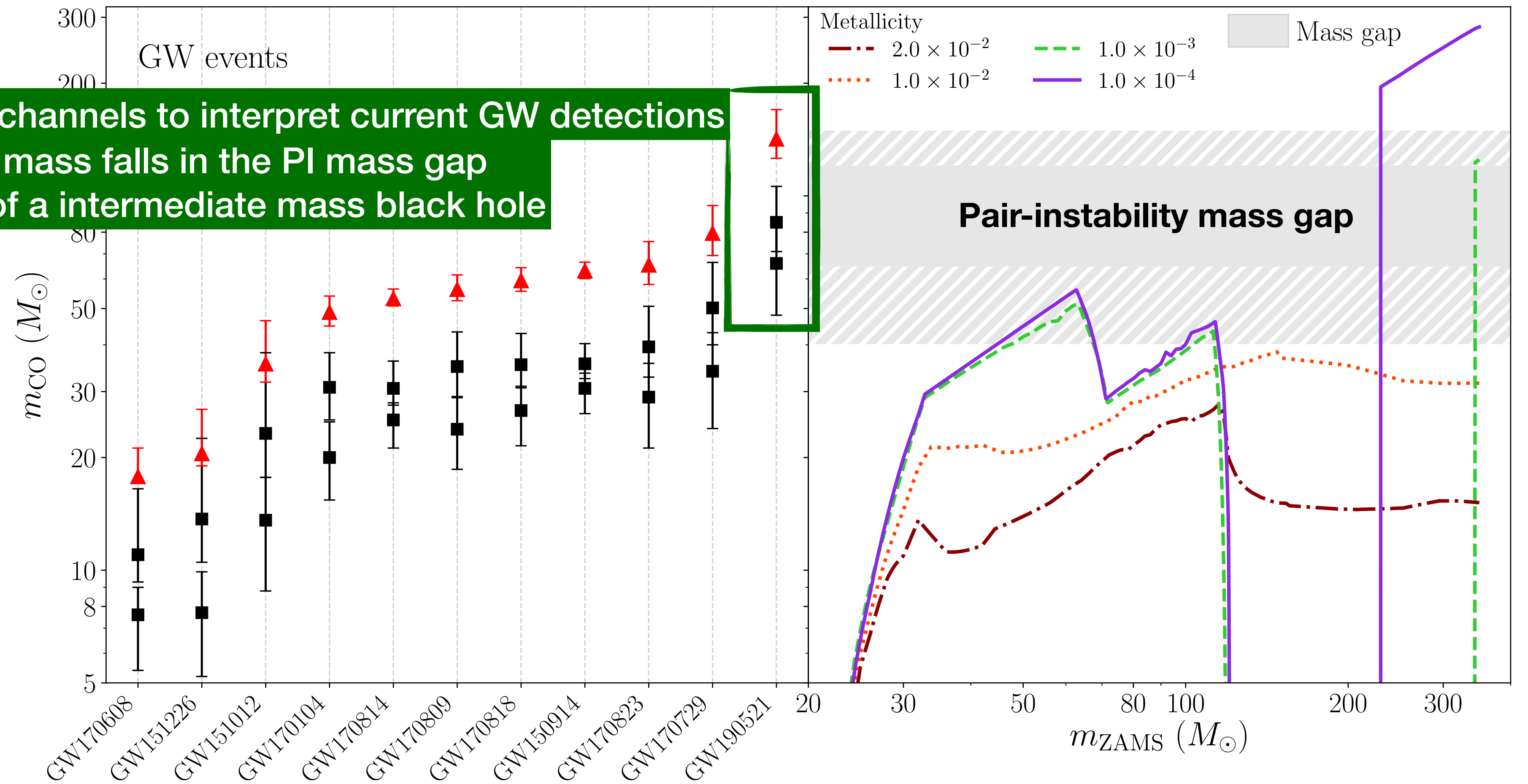
- Very massive stars ( $M_{\text{He}} \gtrsim 64 M_{\odot}$ )
- Central temperature  $T > 7 \times 10^8$  K
- Efficient production of gamma ray radiation in the core
- Gamma-ray photons scattering by atomic nucleus produce electron-positron **pairs**
- **Missing radiation pressure** produces dramatic **instability** and collapse, leaving no remnants ➡



# Pair-instability Super Nova

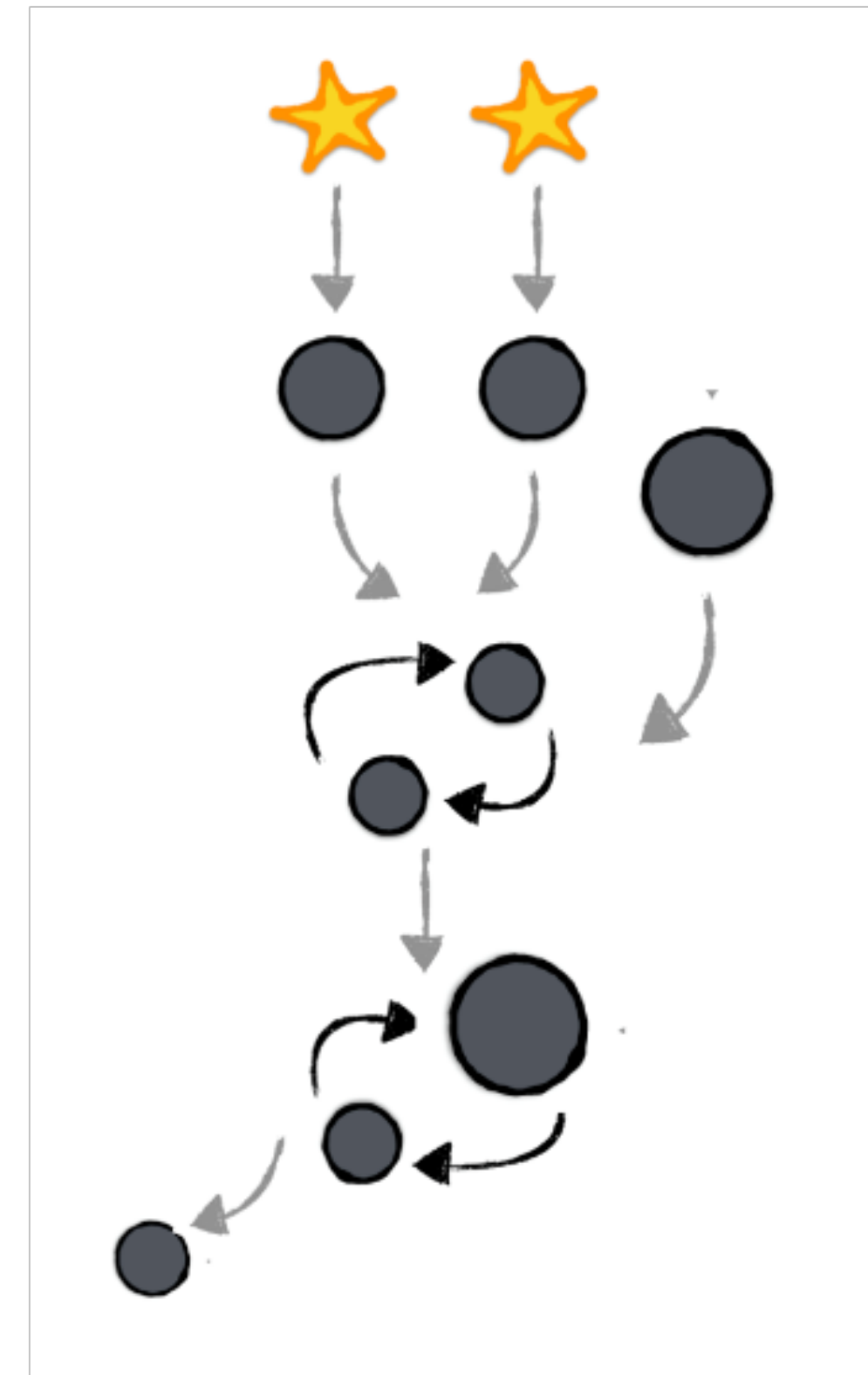
We need other formation channels to interpret current GW detections

1. Primary mass falls in the PI mass gap
2. Formation of an intermediate mass black hole



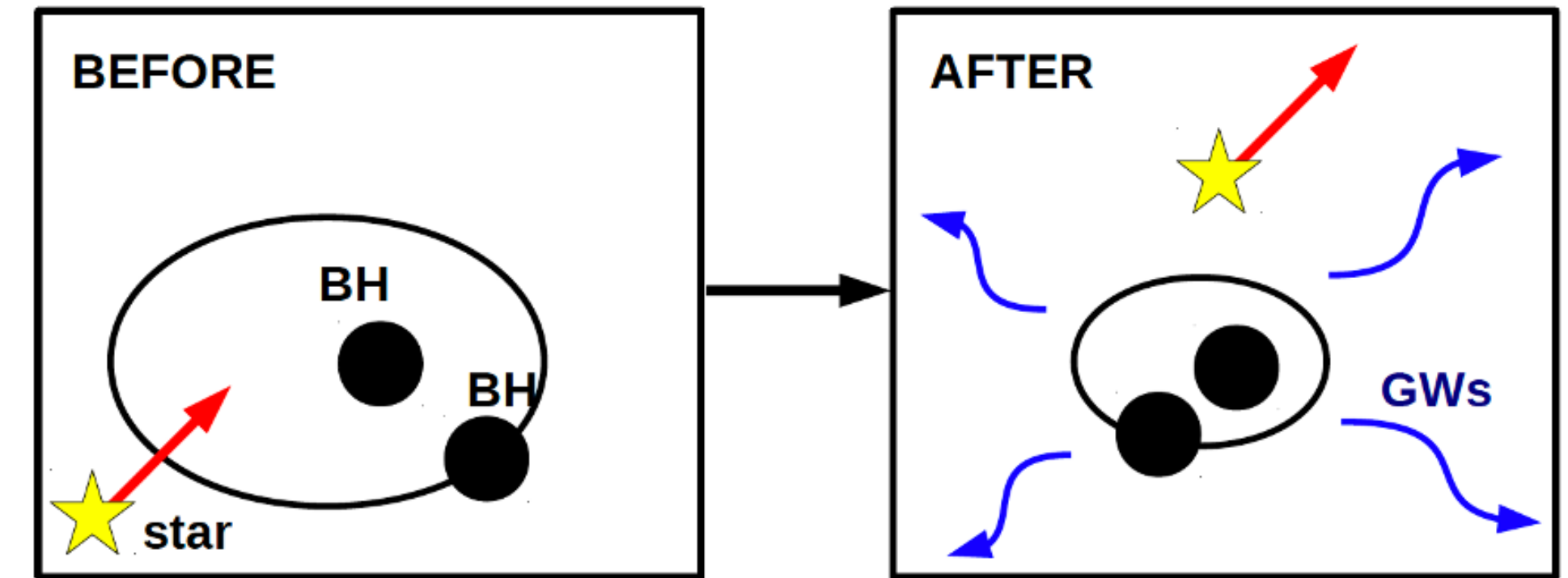
# Dynamical formation channel

- Compact objects form and evolve with dynamical processes
- Dynamical processes have effect only with  $\rho > 10^3$  stars  $\text{pc}^{-3}$  (i.e. **Globular Clusters, Nuclear Star Cluster, Young Star Clusters**)
- Star clusters are also an active environment of formation of massive stars

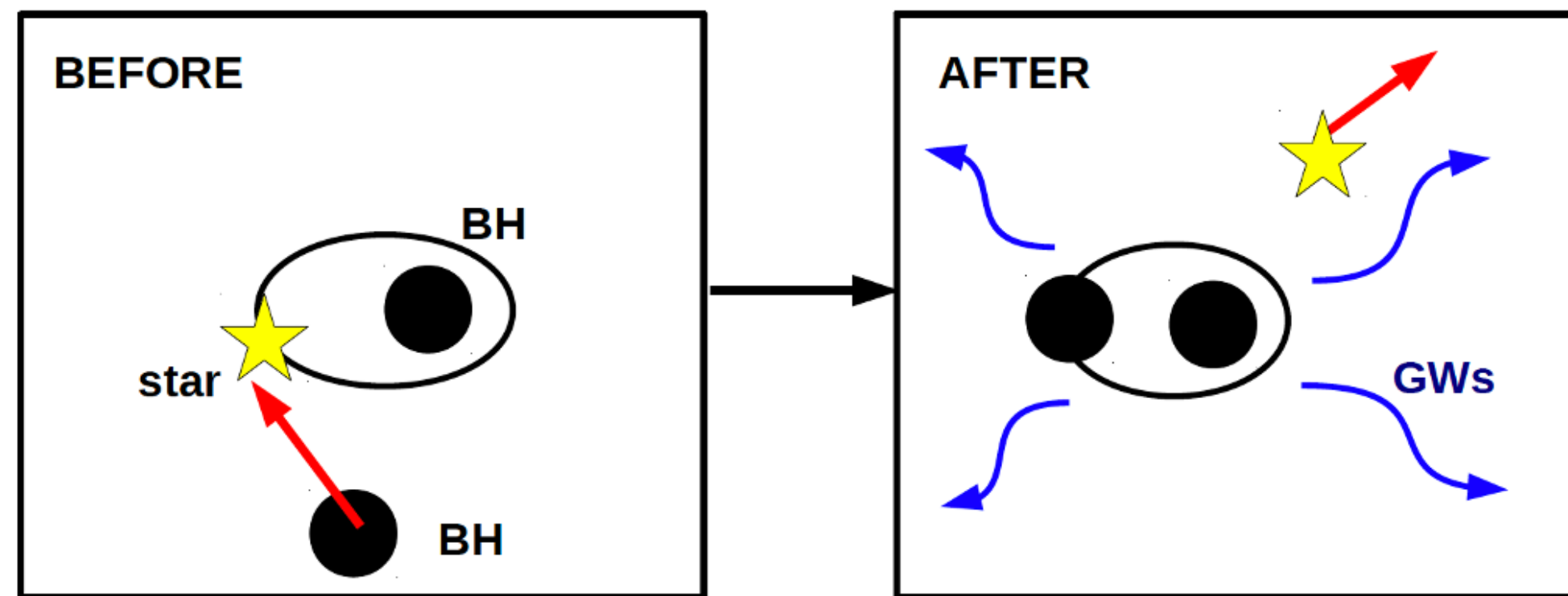


# Dynamical formation channel: processes

**Hardening:** star gains kinetic energy from the binary system → binary system shrinks



Credits: Michela Mapelli

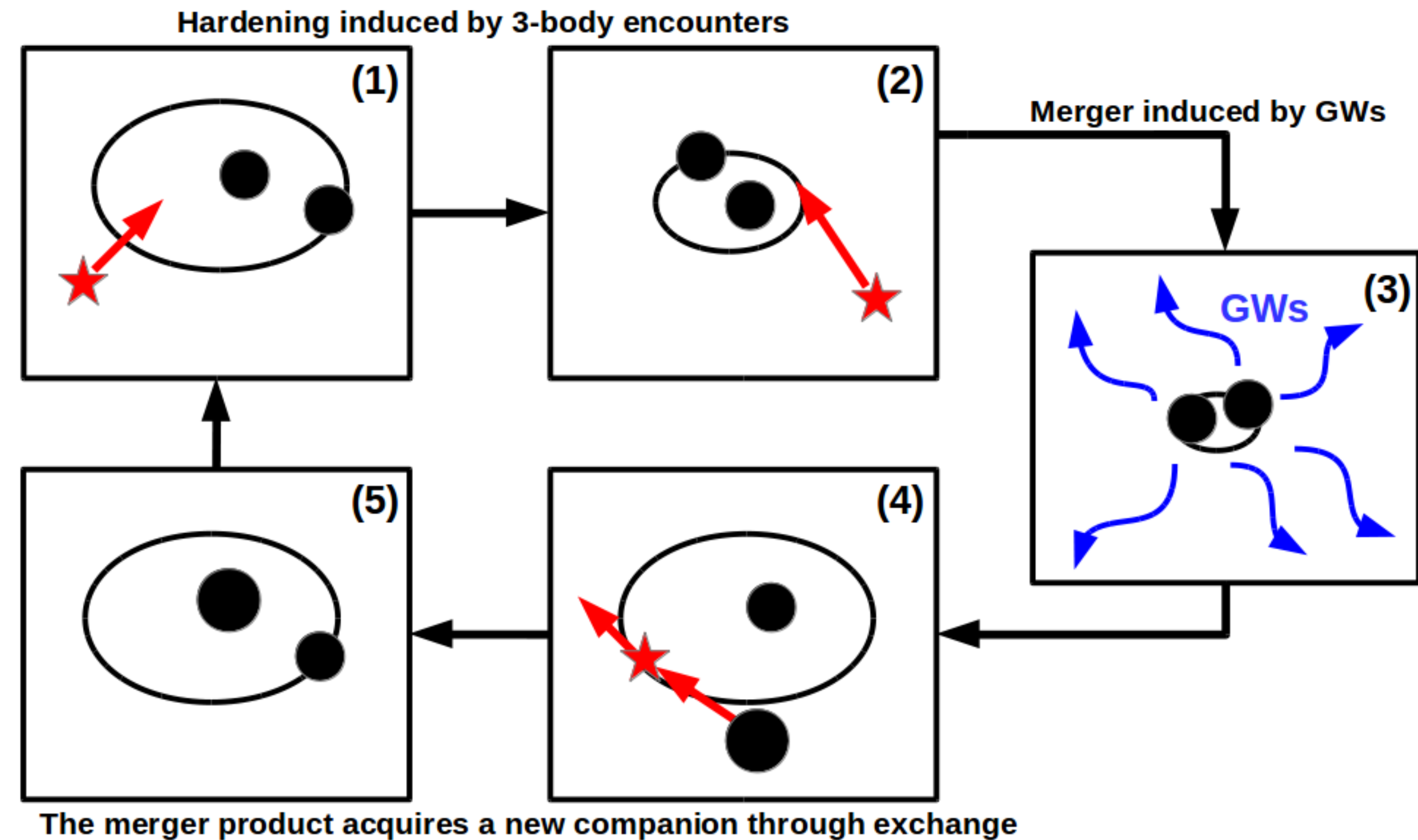


Credits: Michela Mapelli

**Exchange:** making single BH part of a binary systems → merger of massive BH with misaligned spins

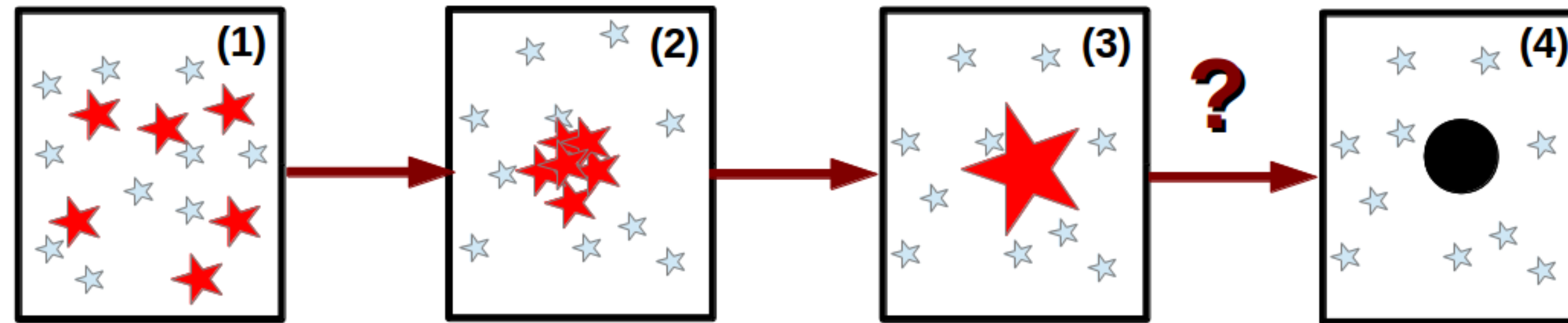
# Dynamical formation channel: processes

**Hierarchical mergers:** Merger remnant can become part of a binary by exchange  
➡ BH can grow in mass because of repeated mergers



Credits: Michela Mapelli

# Runaway collisions



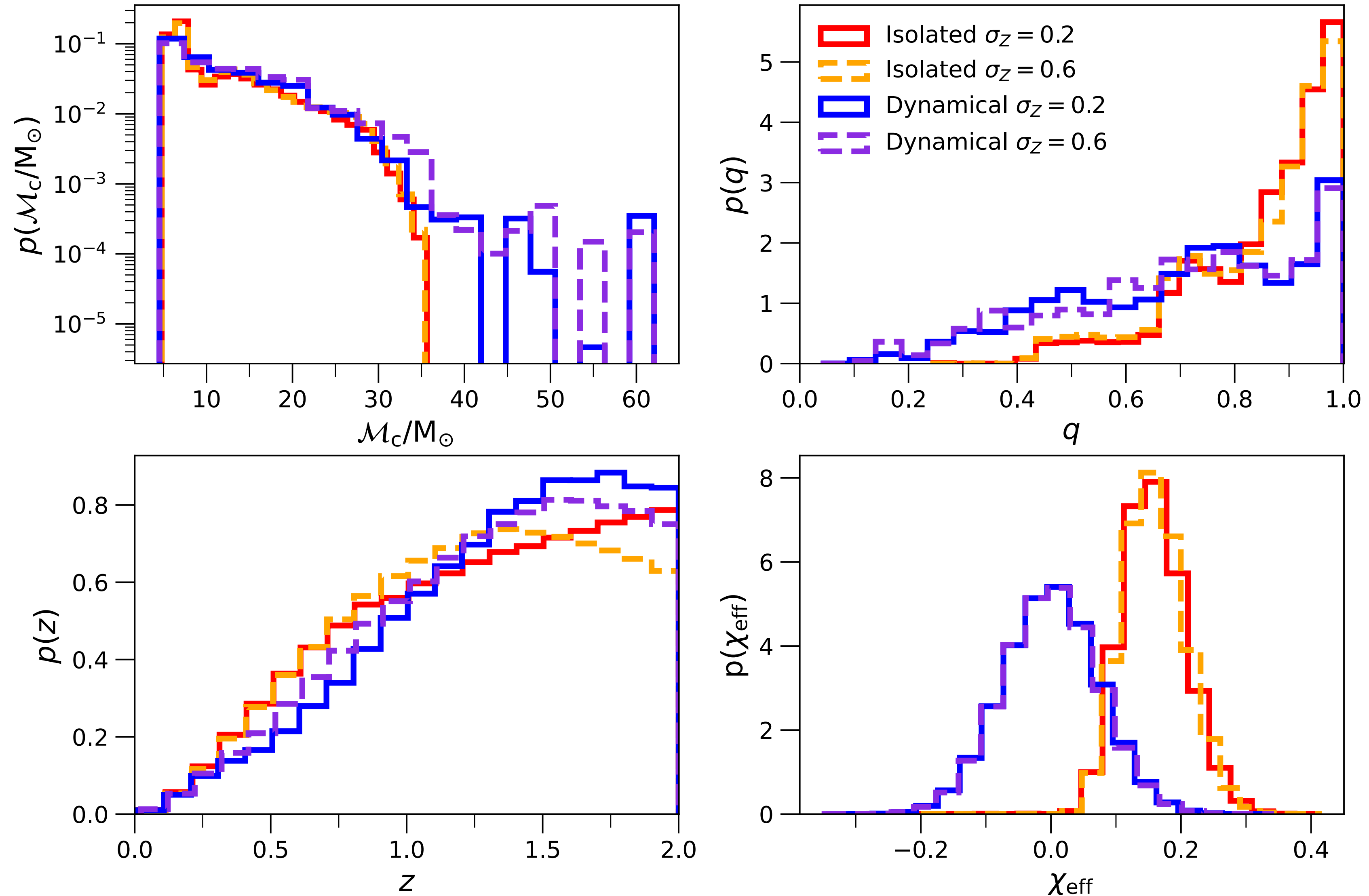
Credits: Michela Mapelli

- Mass segregation brings massive stars into the center
- Massive stars collide, merge, and form super-massive stars capable of merging in the **pair-instability mass gap BH**

**Is there a predominant formation channel?**



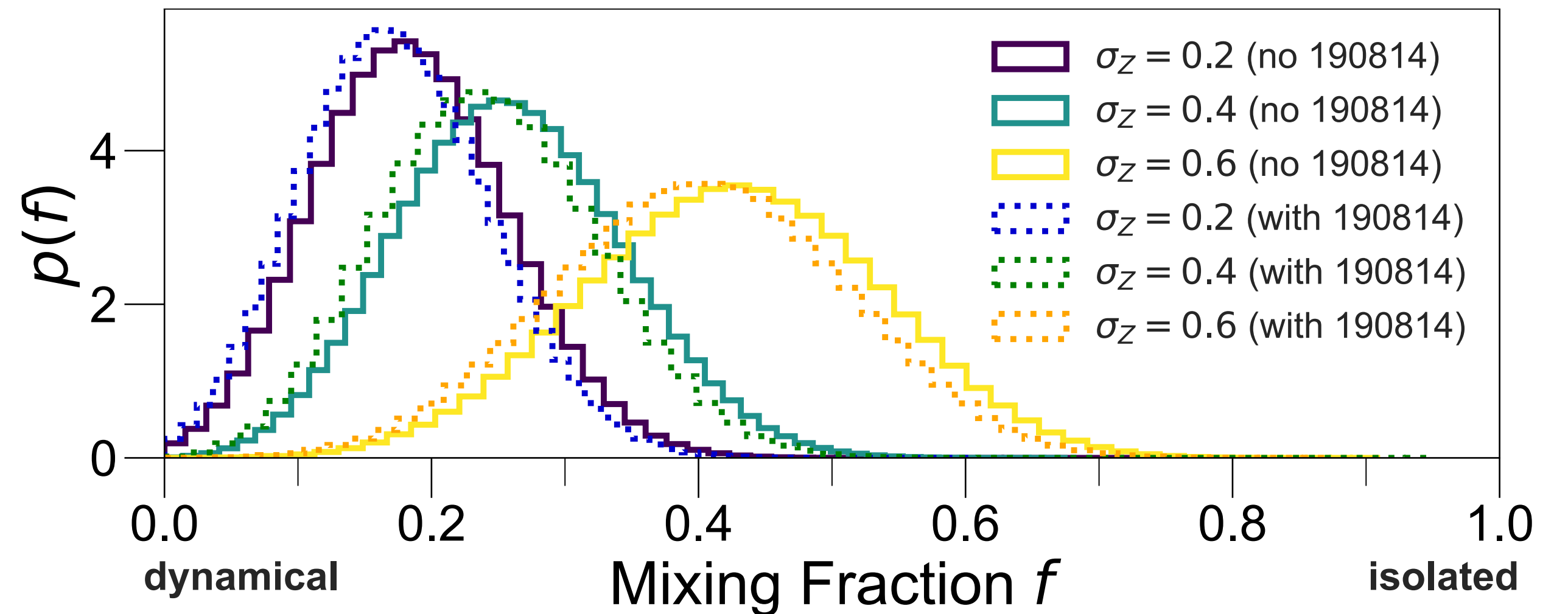
# Signatures



# Mixing fraction

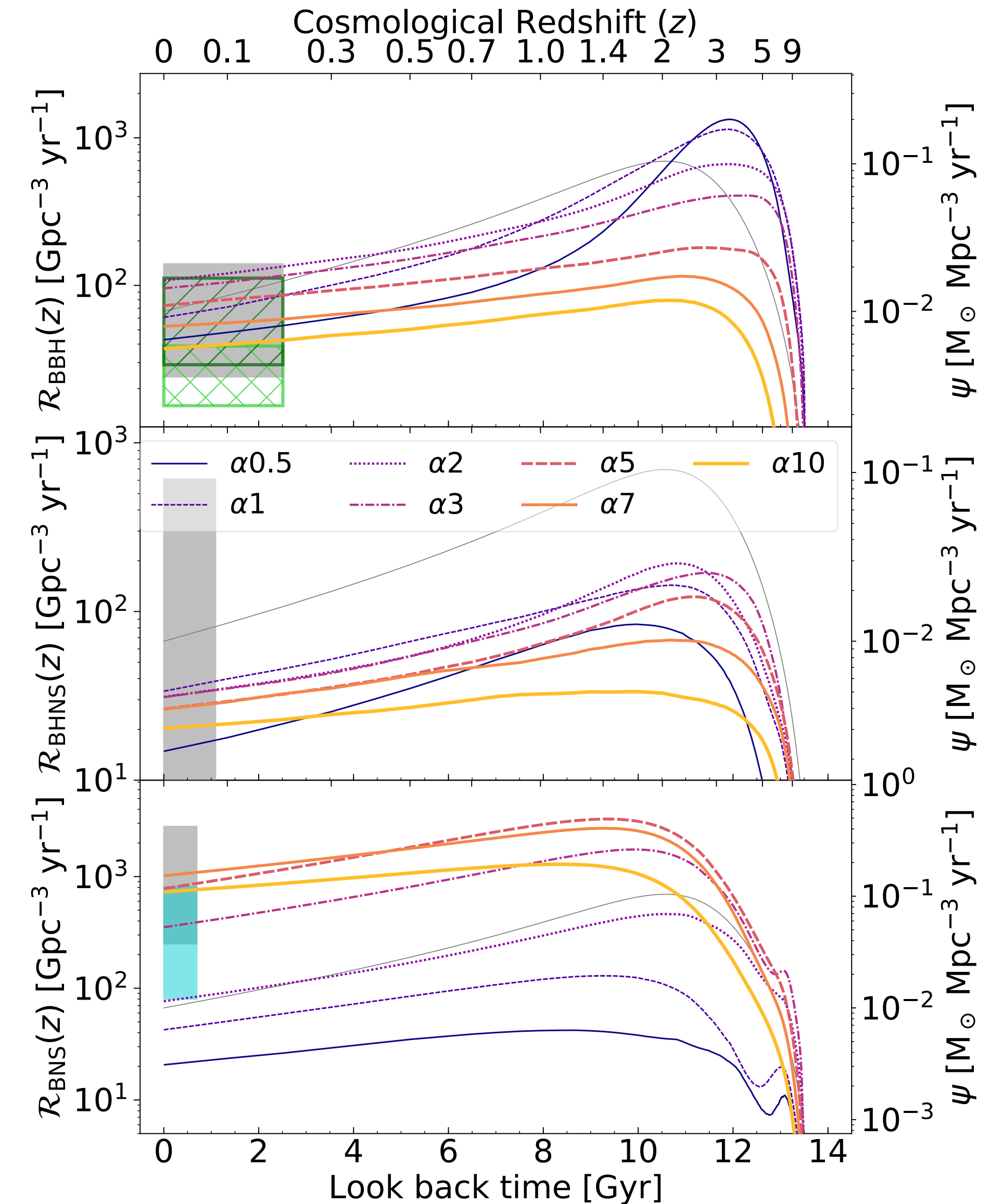
$$\mathcal{L}(\{d\} | \Lambda) \propto \prod_i^{N_{obs}} \frac{\int \mathcal{L}(d_i | \theta) \pi(\theta | \Lambda)}{\xi(\Lambda)}$$

$$\pi(\theta | \Lambda) = f p(\theta | \text{iso}, \sigma_z) + (1 - f) p(\theta | \text{dyn}, \sigma_z)$$



# Today's hands on

- cosmoRate
- $d_L$  ( $z$ , assuming a cosmology) is a waveform parameter
- A given population model must include a redshift distribution
- evaluate the merger rate density given a population of compact object mergers



# What you did (not) learn today

## Tomorrow

- Observed population properties
- Astrophysical processes driving the isolated and dynamical formation channels
- Multimessenger Astrophysics
- Properties of host galaxies of compact object mergers

# Further reading:

- This lecture is based on lecture materials from Marica Branchesi, Jan Harms, Tito Dal Canton, Michela Mapelli, Giuliano Iorio, Gaston Escobar, and Eleonora Loffredo
- References:
  - **Non-parametric models:** [Mandel et al. 2017](#), [Li et al. 2021](#), [Rinaldi and Dal Pozzo 2022](#),
  - **Astrophysics of compact objects:** [Mapelli 2018](#), [Spera et al. 2022](#) (with dynamics), [Costa et al. 2023](#) (and references therein)
- **See you this afternoon!**